

Machine learning *ab initio* calculations for Materials Science

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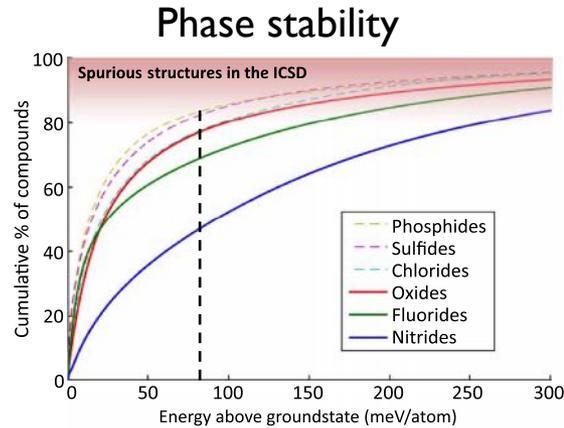
UC San Diego
Jacobs School of Engineering


materials
virtual·lab

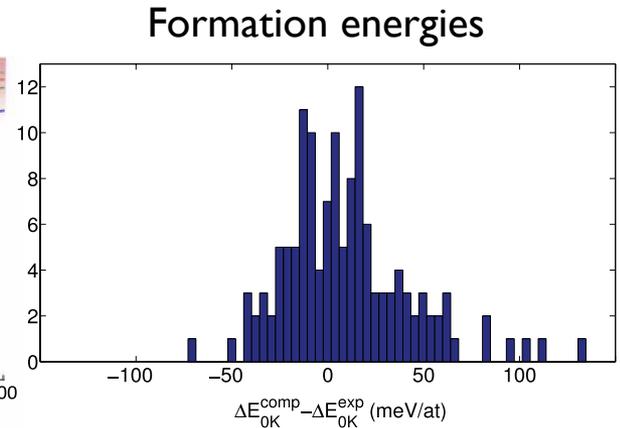
Electronic structure calculations are today reliable and reasonably accurate.

	average $\langle \Delta \rangle$	AE						
		Elk	exciting	FHI-aims/tier2	FLEUR	FPLO/T+F+s	RSPT	WIEN2k/acc
AE	Elk	0.6	0.3	0.3	0.6	1.0	0.9	0.3
	exciting	0.5	0.3	0.1	0.5	0.9	0.8	0.2
	FHI-aims/tier2	0.5	0.3	0.1	0.5	0.9	0.8	0.2
	FLEUR	0.6	0.6	0.5	0.5	0.8	0.6	0.4
	FPLO/T+F+s	0.9	1.0	0.9	0.9	0.8	0.9	0.9
	RSPT	0.8	0.9	0.8	0.8	0.6	0.9	0.8
	WIEN2k/acc	0.5	0.3	0.2	0.2	0.4	0.9	0.8
PAW	GBRV12/ABINIT	0.9	0.8	0.8	0.9	1.3	1.1	0.8
	GPAW09/ABINIT	1.4	1.3	1.3	1.3	1.7	1.5	1.3
	GPAW09/GPAW	1.6	1.5	1.5	1.5	1.7	1.5	1.3
	JTH02/ABINIT	0.6	0.6	0.6	0.6	0.9	0.8	0.6
	PSlib100/QE	0.9	0.9	0.8	0.8	1.3	1.1	0.8
	VASPGW2015/VASP	0.6	0.6	0.4	0.4	0.8	1.0	0.3
USPP	GBRV14/CASTEP	1.1	1.0	1.0	1.0	1.3	1.0	1.0
	GBRV14/QE	1.1	1.0	1.0	1.0	1.3	1.0	1.0
	OTFG9/CASTEP	0.7	0.4	0.5	0.5	0.7	1.0	0.5
	SSSP/QE	0.5	0.4	0.3	0.3	0.5	0.9	0.3
	Vdb2/DACAPO	6.3	6.3	6.3	6.3	6.4	6.5	6.2
NCPP	FHI98pp/ABINIT	13.3	13.5	13.4	13.4	13.2	13.0	13.2
	HGH/ABINIT	2.2	2.2	2.2	2.2	2.0	2.3	2.1
	HGH-NLCC/BigDFT	1.1	1.1	1.1	1.1	1.0	1.2	1.1
	MBK2013/OpenMX	2.0	2.1	2.1	2.1	1.9	1.8	2.0
	ONCVSP (PD0.1)/ABINIT	0.7	0.7	0.7	0.7	0.6	1.0	0.6
	ONCVSP (SG15)1/QE	1.4	1.4	1.3	1.3	1.3	1.6	1.3
	ONCVSP (SG15)2/CASTEP	1.4	1.4	1.4	1.4	1.3	1.6	1.4

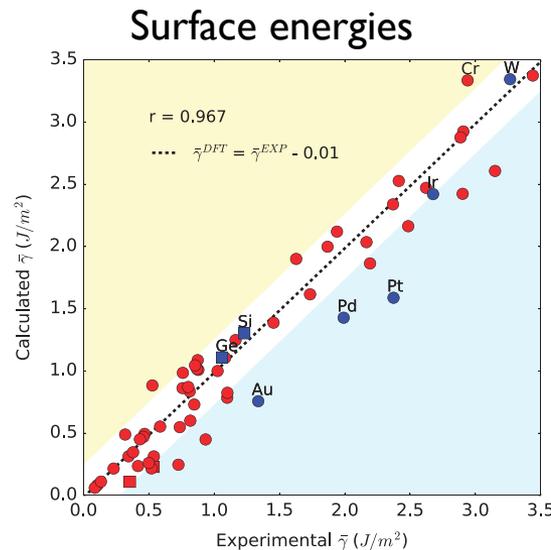
Lejaeghere et al. Science, 2016, 351 (6280), aad3000.



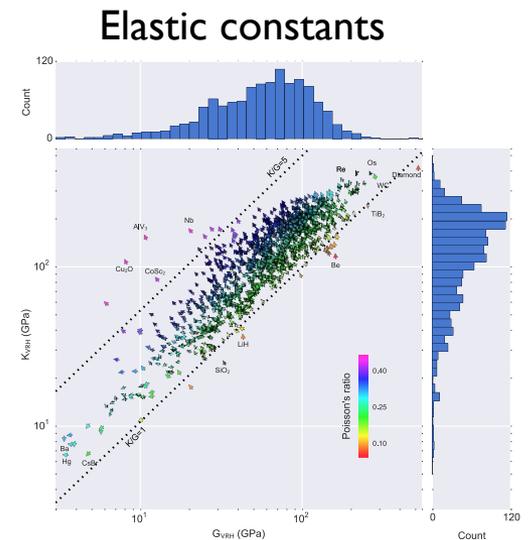
Sun, et al. Sci. Adv. 2016, 2 (11), e1600225.



Hautier et al. Phys. Rev. B 2012, 85, 155208.



Tran, et al. Sci. Data 2016, 3, 160080.



de Jong et al. Sci. Data 2015, 2, 150009.

With reliable electronic structure codes + great computing power, we have big databases....

Materials Project¹

<https://www.materialsproject.org>

Powered by:

pymatgen²

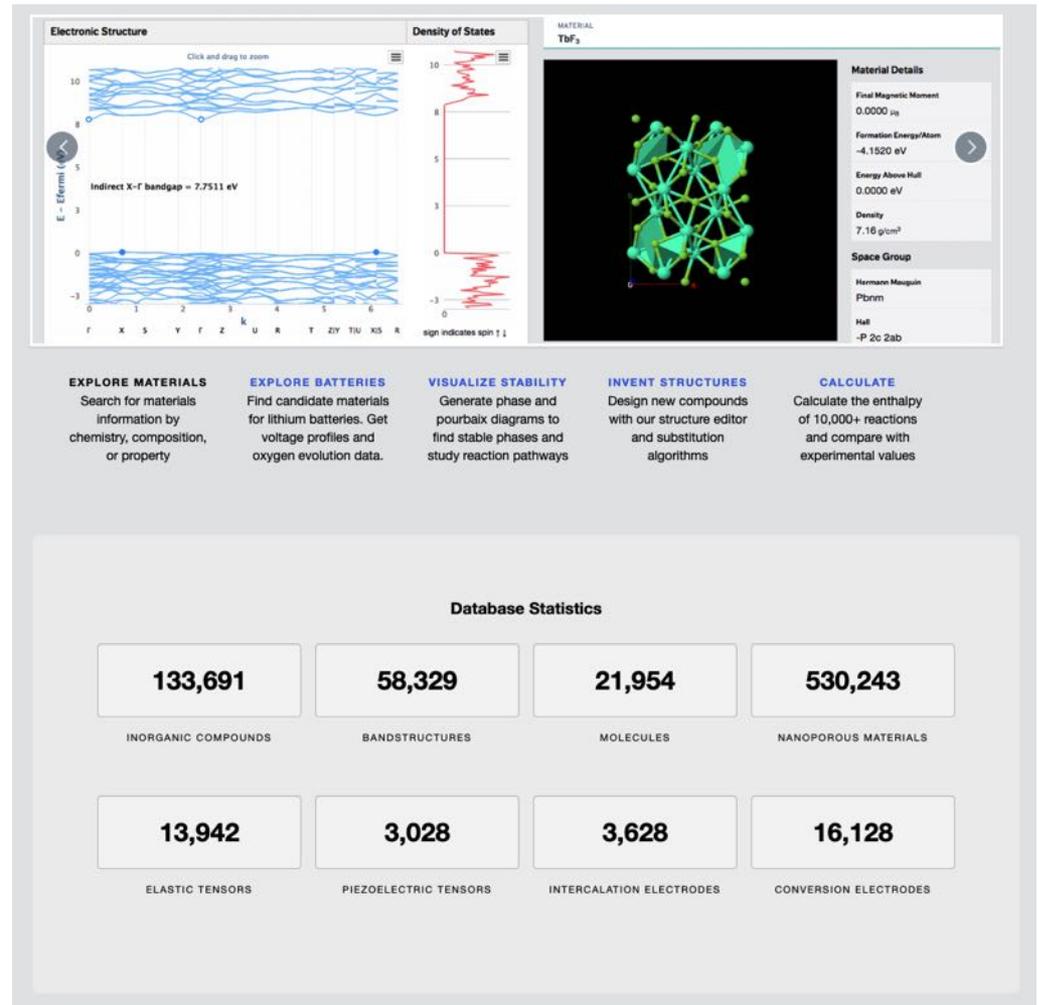
Custodian

FireWorks³

¹ Jain et al. APL Mater. 2013, 1 (1), 11002.

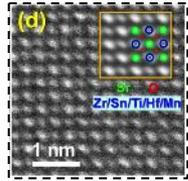
² Ong et al. Comput. Mater. Sci. 2013, 68, 314–319.

³ Jain et al. Concurr. Comput. Pract. Exp. 2015, 27 (17), 5037–5059.



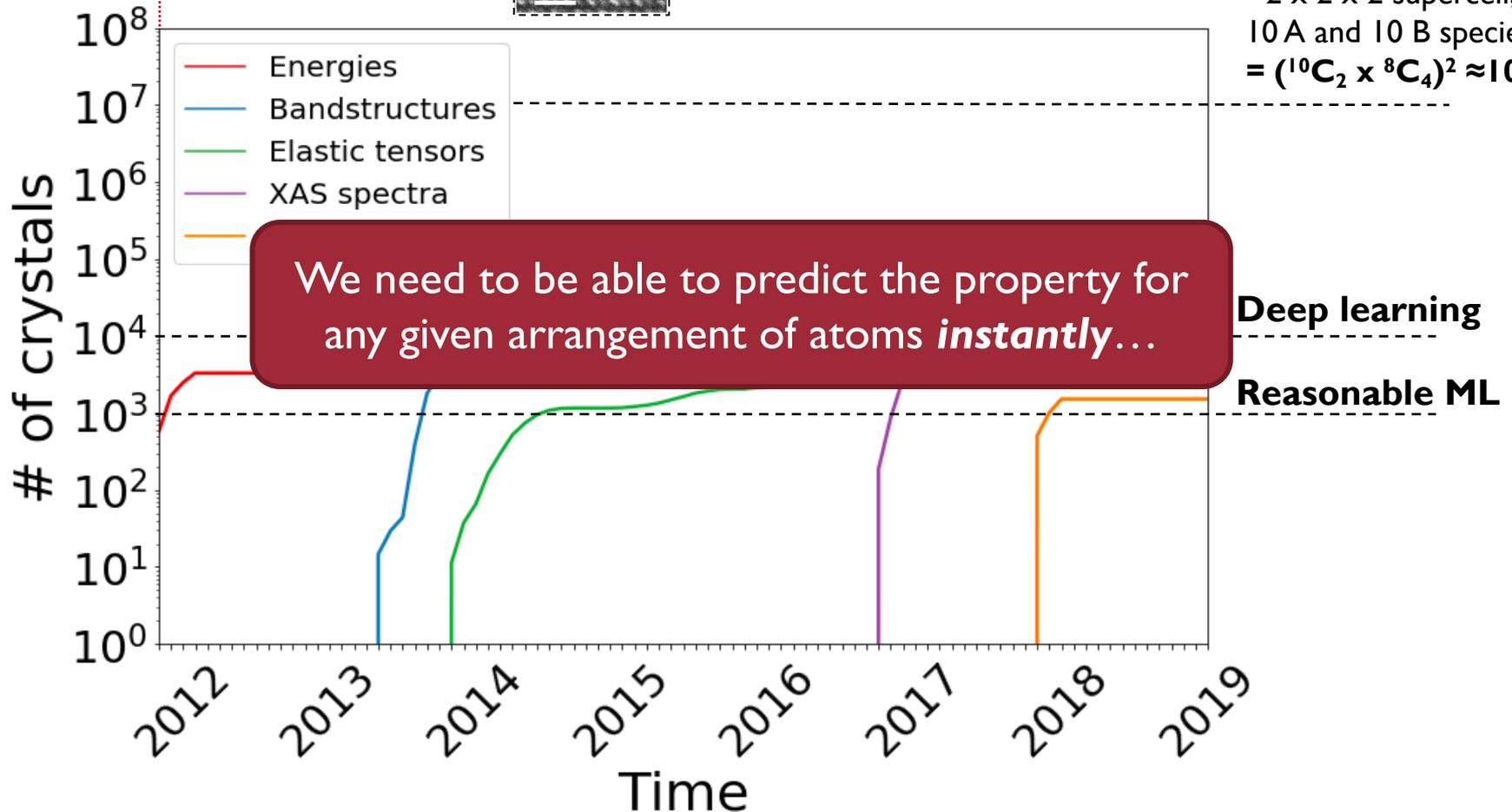
Why machine learning? (Part I)

Materials design is combinatorial



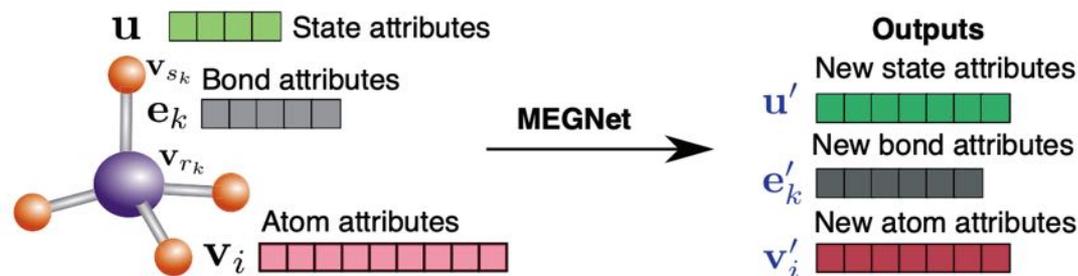
Jiang et al. A New Class of High-Entropy Perovskite Oxides. *Scripta Materialia* **2018**, 142, 116–120.

$(AA')_{0.5}(BB')_{0.5}O_3$ perovskite
 $2 \times 2 \times 2$ supercell,
 10 A and 10 B species
 $= ({}^{10}C_2 \times {}^8C_4)^2 \approx 10^7$

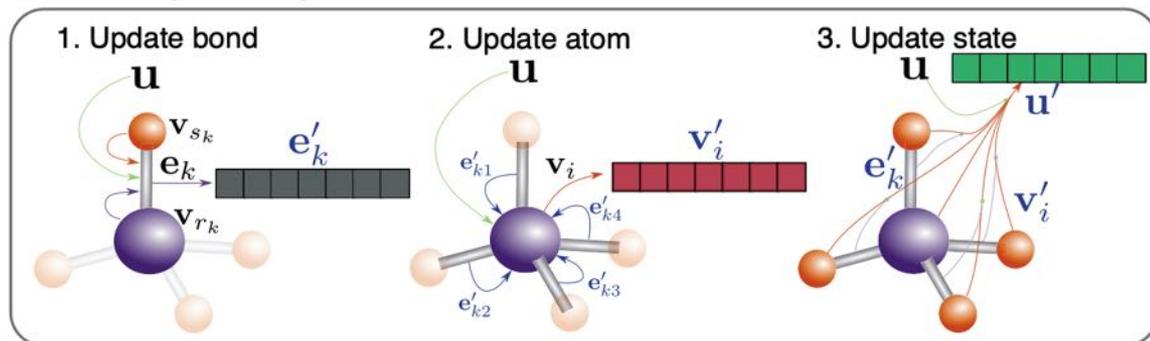


Materials Project Timeline

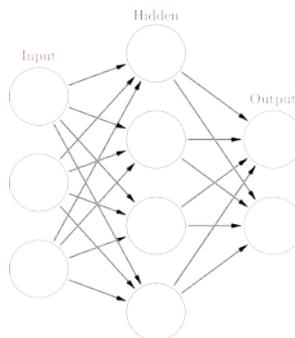
MatErials Graph Network (MEGNet)



MEGNet update steps



ϕ are update functions approximated using



Bond update

$$e'_{k1} = \phi_e(v_{rk} \oplus v_{sk} \oplus e_{k1} \oplus u)$$

Atom update

$$\bar{v}_i^e = \frac{1}{N_i^e} \sum_{k=1}^{N_i^e} \{e'_k\}_{r_k=1}$$

$$v'_i = \phi_v(\bar{v}_i^e \oplus v_i \oplus u)$$

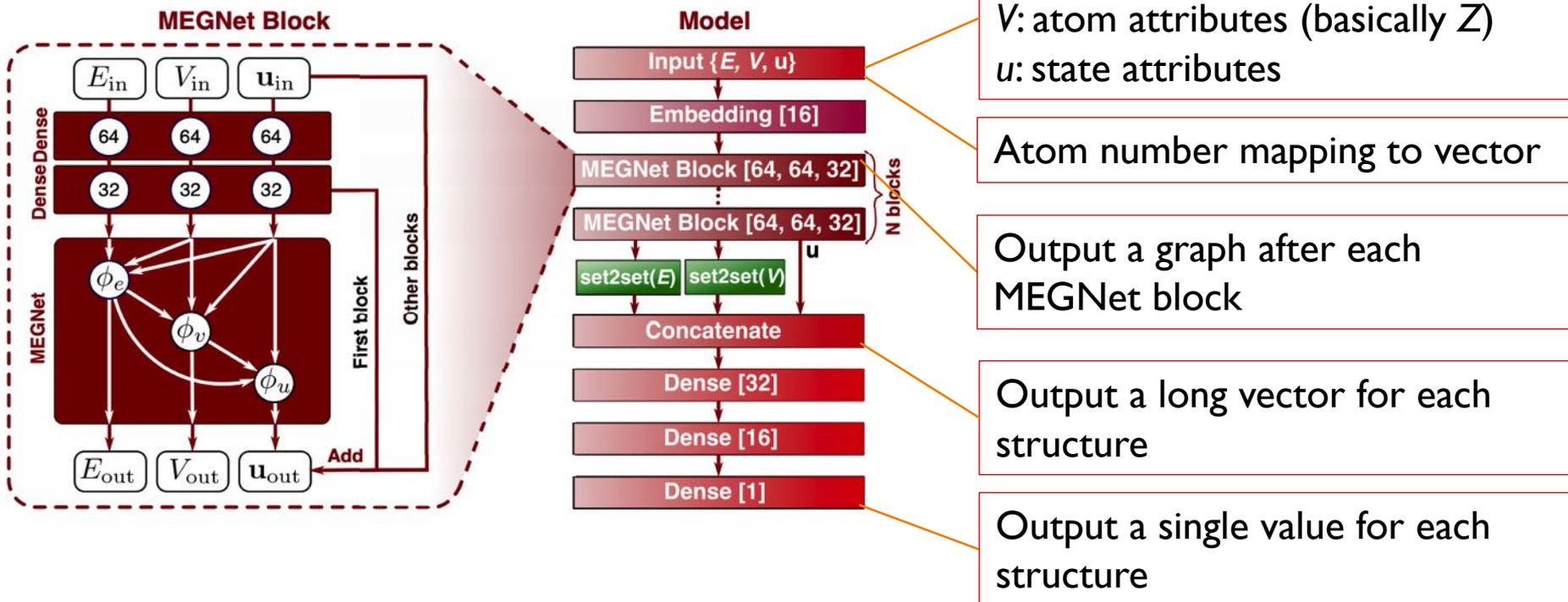
State update

$$\bar{u}^e = \frac{1}{N^e} \sum_{k=1}^{N^e} \{e'_k\}$$

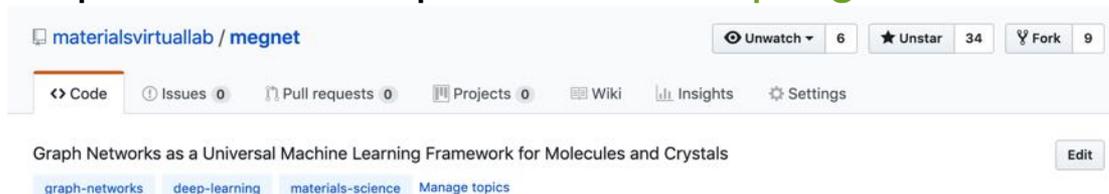
$$\bar{u}^v = \frac{1}{N^v} \sum_{i=1}^{N^v} \{v'_i\}$$

$$u' = \phi_u(\bar{u}^e \oplus \bar{u}^v \oplus u)$$

Full model architecture



Implementation is open source at <https://github.com/materialsvirtuallab/megnet>.



Performance on 130,462 QM9 molecules

80%-10%-10% train-validation-test split

Property	Units	MEGNet-Full* (This Work)	MEGNet-Simple** (This Work)	Schnet ³⁶	enn-s2s ³⁷	Benchmark ³²	Target
ϵ_{HOMO}	eV	0.038±0.001	0.043	0.041	0.043	0.055 ^a	0.043
ϵ_{LUMO}	eV	0.031±0.000	0.044	0.034	0.037	0.064 ^a	0.043
$\Delta\epsilon$	eV	0.061±0.001	0.066	0.063	0.069	0.087 ^a	0.043
ZPVE	meV	1.40±0.06	1.43	1.7	1.5	1.9 ^c	1.2
μ	D	0.040±0.001	0.050	0.033	0.030	0.101 ^a	0.1
α	bohr ³	0.083±0.001	0.081	0.235	0.092	0.161 ^b	0.1
$\langle R^2 \rangle$	bohr ²	0.265±0.001	0.302	0.073	0.180	-	1.2
U_0	eV	0.009±0.000	0.012	0.014	0.019	0.025 ^c	0.043
U	eV	0.010±0.000	0.013	0.019	0.019	-	0.043
H	eV	0.010±0.000	0.012	0.014	0.017	-	0.043
G	eV	0.010±0.000	0.012	0.014	0.019	-	0.043
C_v	cal(molK) ⁻¹	0.030±0.001	0.029	0.033	0.040	0.044 ^c	0.05
ω_1	cm ⁻¹	1.10±0.08	1.18	-	1.9	2.71 ^d	10

11/13 properties reached chemical accuracy

11/13 properties state-of-the-art

Chemical Accuracy

Schnet: Schutt et al. *J. Chem. Phys.* 148, 241722 (2018)

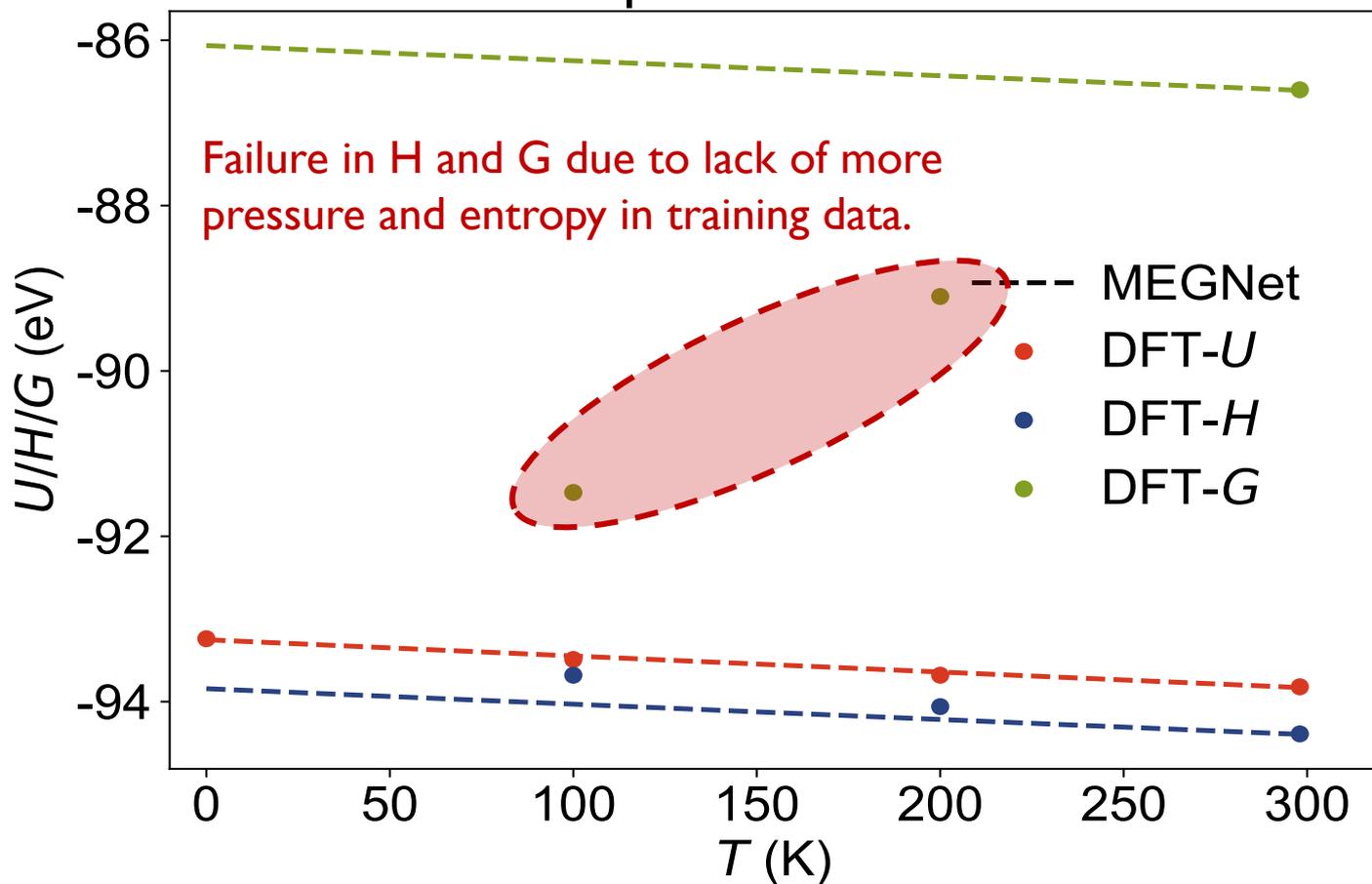
enn-s2s: Gilmer et al. *Proceedings of the 34th International Conference on Machine Learning-Volume 70*. JMLR. org, 2017.

Unified Free Energy Model

$$\Phi = f(E, T, P, S)$$

qm9:099026

Training data state:
U, H, G at 298 K
U at 0K (U0)



Performance on 69,000 Materials Project Crystals

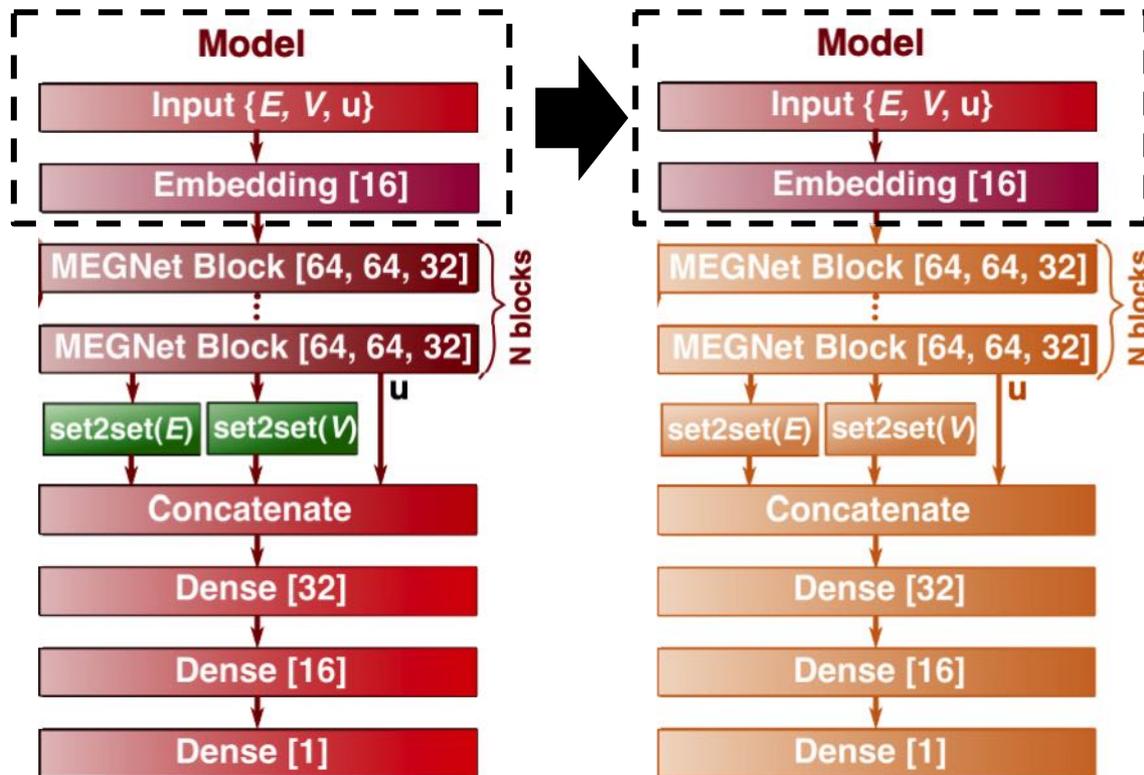
“Noisy”
Dataset too small

	units	MEGNet	SchNet ³⁶	CGCNN ⁹
elements		89	89	87
E_f	eV atom ⁻¹	0.028 ± 0.000 (60 000)	0.035 (60 000)	0.039 (28 046)
E_g	eV	0.33 ± 0.01 (36 720)		0.388 (16 485)
K_{VRH}	log ₁₀ (GPa)	0.050 ± 0.002 (4664)		0.054 (2041)
G_{VRH}	log ₁₀ (GPa)	0.079 ± 0.003 (4664)		0.087 (2041)
metal classifier		78.9% ± 1.2% (55 391)		80% (28 046)
nonmetal classifier		90.6% ± 0.7% (55 391)		95% (28 046)

Transfer learning for improved convergence and speed

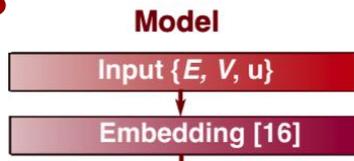
E_f model
(60,000 data points)

E_g model
(36,000 data points)



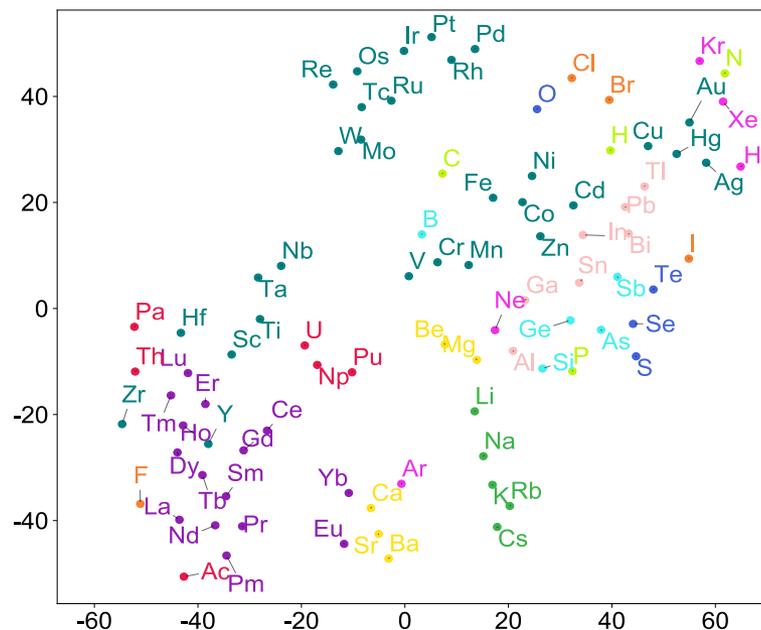
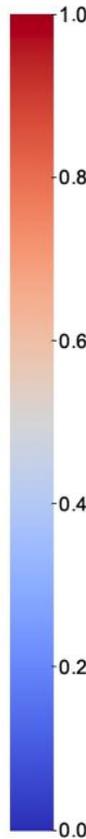
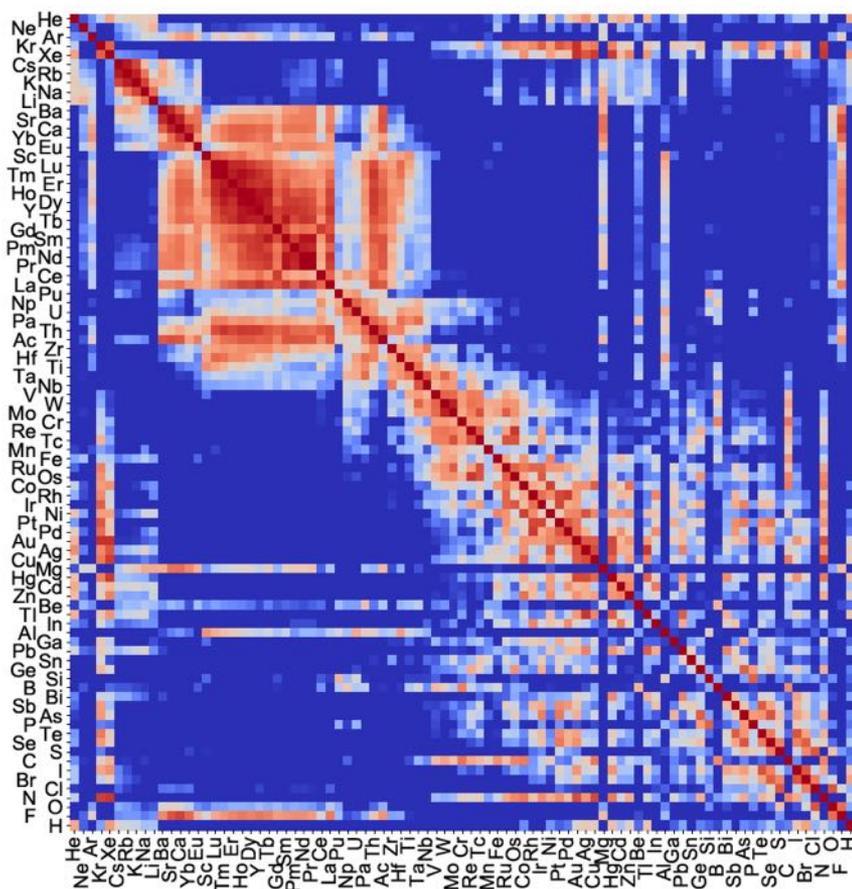
- ✓ MAE decreases from 0.38 eV to 0.32 eV
- ✓ Convergence speed x2

Extracting chemistry from machine-learned models



Pearson correlation

t-SNE projection

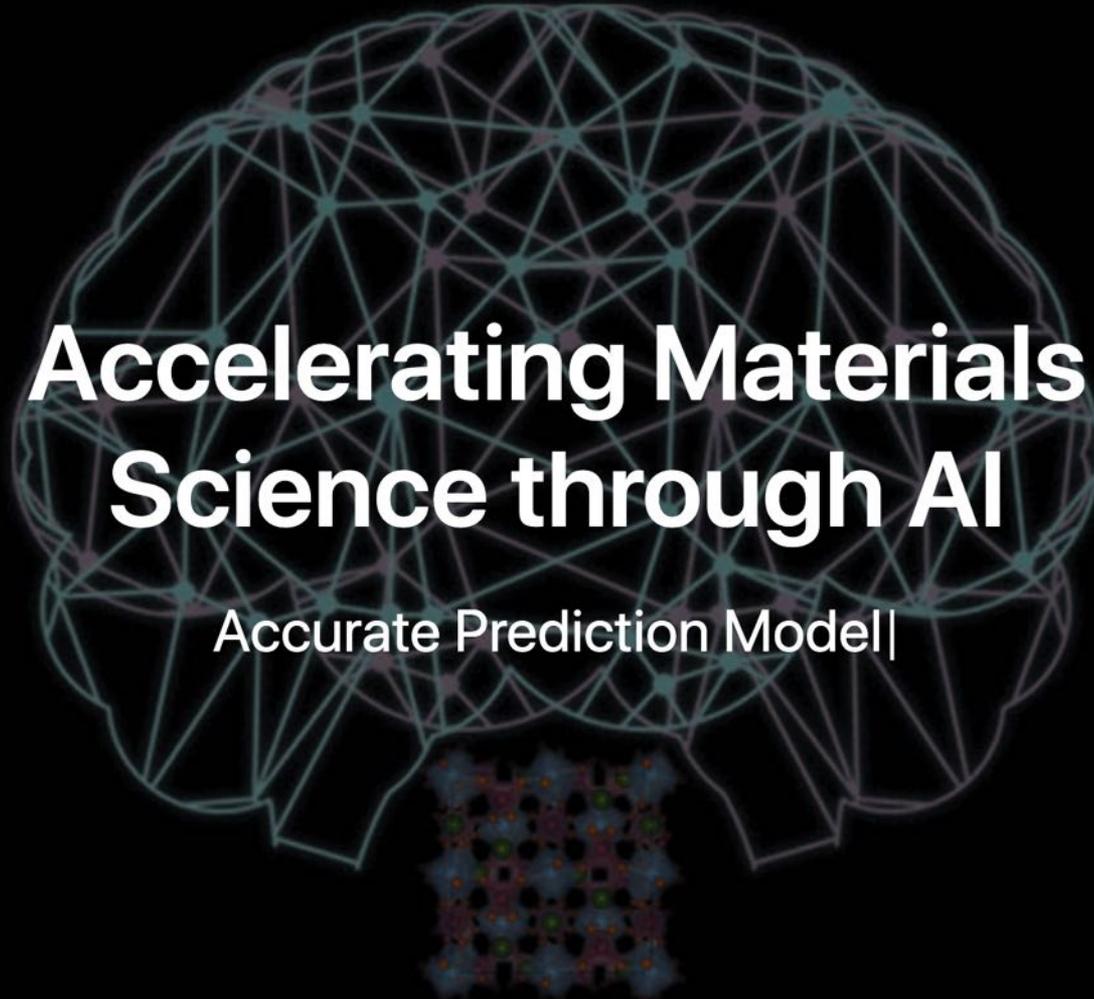


Sorted by Mendeleev number

<http://crystals.ai>

Accelerating Materials Science through AI

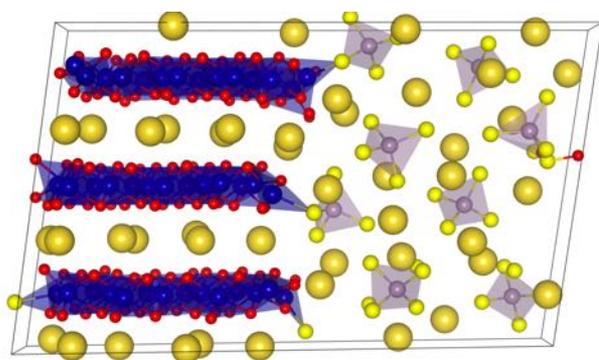
Accurate Prediction Model



Why machine learning? (Part 2)

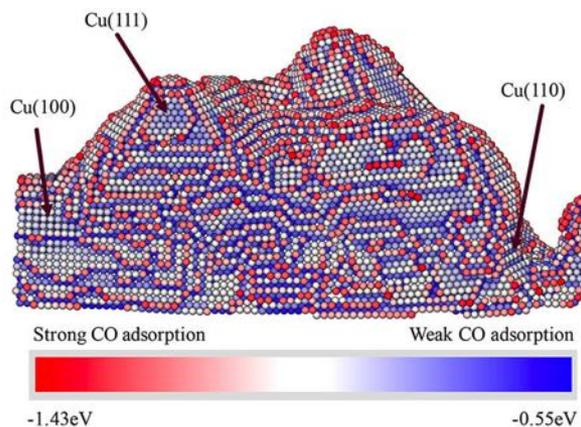
Many real-world materials problems are not related to bulk crystals.

Electrode-electrolyte interfaces



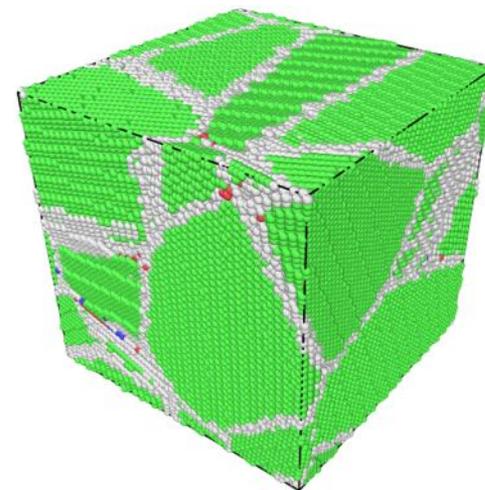
Tang et al. Chem. Mater. 2018, 30 (1), 163–173.

Catalysis



Huang et al. ACS Energy Lett. 2018, 3 (12), 2983–2988.

Microstructure and segregation



Need linear-scaling with ab initio accuracy.

General procedure

Sample a sufficiently large dataset

Describe local environment

Learn relationship between features and energy, force, etc.

Open databases



OQMD

DIY

pyMatgen
FireWorks

atomate
ASE

Requirements

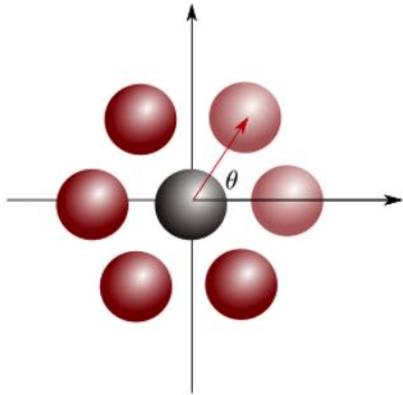
- Invariance to rotation, reflection, translation, and permutation
- Uniqueness
- Differentiability

Examples:

- Coulomb matrix
- Symmetry functions
- Bispectrum
- Smooth overlap of atomic positions
- Fragment descriptors
- ...

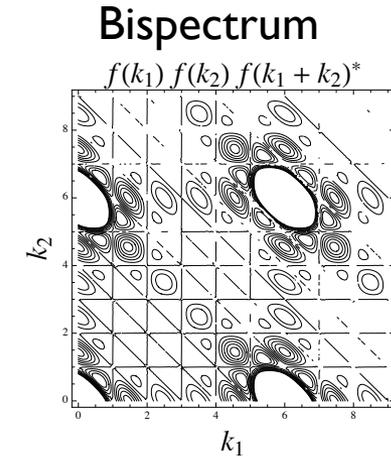
- Linear regression
- LASSO
- Kernel ridge regression
- Random forest
- SVMs
- Neural networks
-

A many-body atomic environment descriptor: bispectrum coefficients



- Map neighbors into unit sphere in 4D
- Expand density in 4D spherical harmonics

$$\rho(\mathbf{r}) = \sum_{j=0, \frac{1}{2}, \dots}^{\infty} \sum_{m=-j}^j \sum_{m'=-j}^j u_{m,m'}^j U_{m,m'}^j(\theta_0, \theta, \phi)$$



$$\rho_i(\mathbf{r}) = \delta(\mathbf{r}) + \sum_{r_{ii'} < R_{cut}} f_c(r_{ii'}) w_{i'} \delta(\mathbf{r} - \mathbf{r}_{ii'})$$

$$B_{j_1, j_2, j} = \sum_{m_1, m'_1 = -j_1}^{j_1} \sum_{m_2, m'_2 = -j_2}^{j_2} \sum_{m, m' = -j}^j (u_{m, m'}^j)^* H_{j_1 m_1 m'_1}^{j m m'} u_{m_1, m'_1}^{j_1} u_{m_2, m'_2}^{j_2}$$

Gaussian approximation potential (GAP)¹

$$E_{GAP} = \sum_n \alpha_n G(\mathbf{B}, \mathbf{B}_n)$$

Gaussian process regression (nonparametric model)

¹ Bartok et al. Phys. Rev. Lett. 2010, 104 (13), 136403.

² Thompson et al. J. Comput. Phys. 2015, 285, 316–330 DOI: 10.1016/j.jcp.2014.12.018.

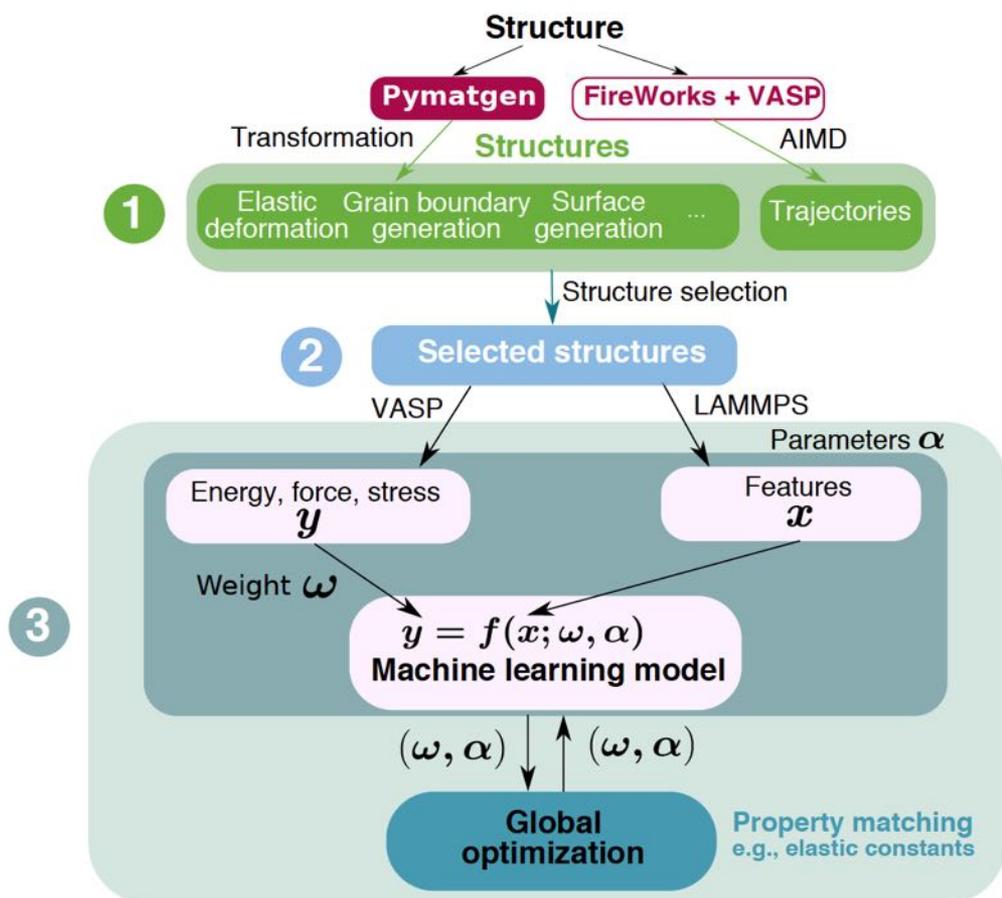
Spectral neighbor analysis potential (SNAP)²

$$E_{SNAP} = \beta_0 N + \beta \cdot \sum_{i=1}^N B^i$$

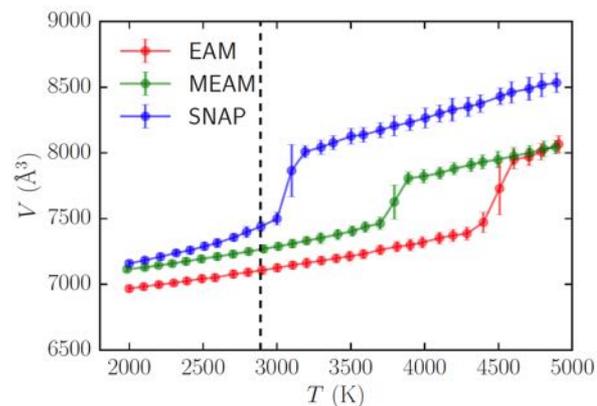
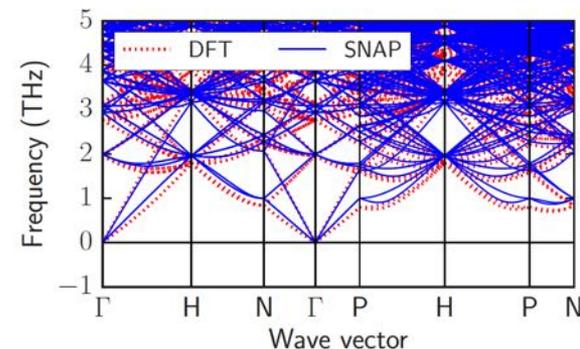
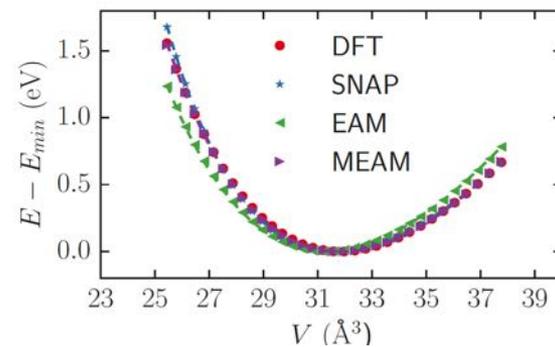
$$\mathbf{F}_{SNAP}^j = -\beta \cdot \sum_{i=1}^N \frac{\partial B^i}{\partial \mathbf{r}_j}$$

$$\boldsymbol{\sigma}_{SNAP}^j = -\beta \cdot \sum_{j=1}^N \mathbf{r}_j \otimes \sum_{i=1}^N \frac{\partial B^i}{\partial \mathbf{r}_j}$$

Models: Quantum-accurate force-field for Mo

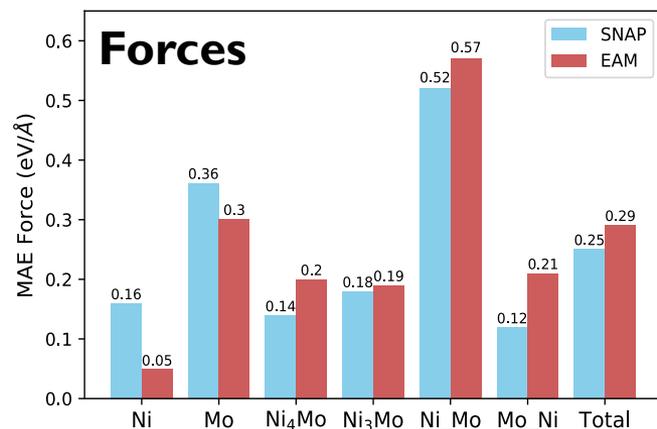
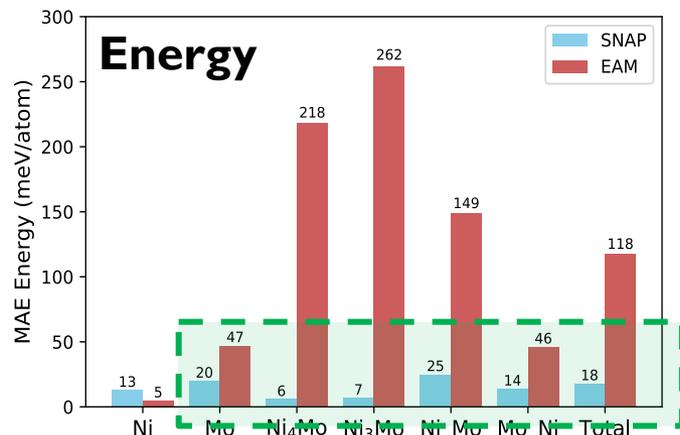


Chen et al. Phys. Rev. Mater. 2017, 1 (4), 43603 DOI: 10.1103/PhysRevMaterials.1.043603.

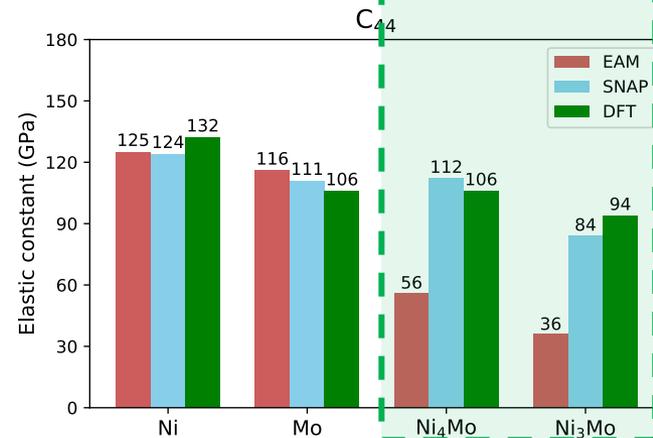
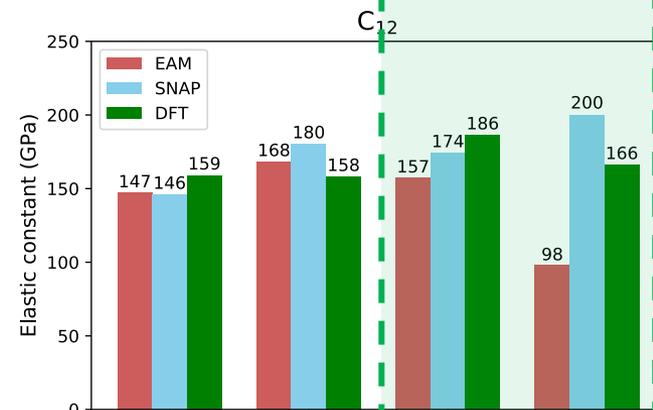
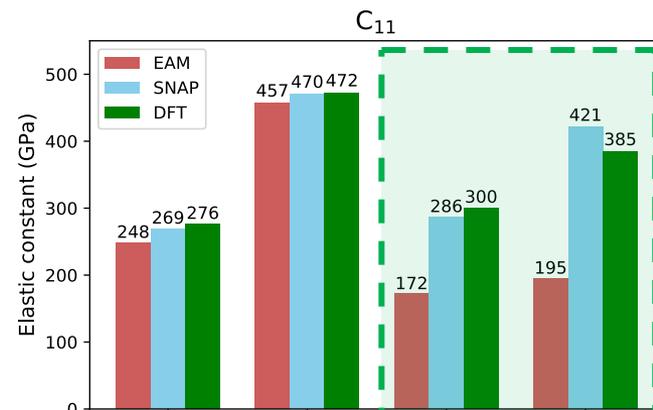


Ni-Mo SNAP performance

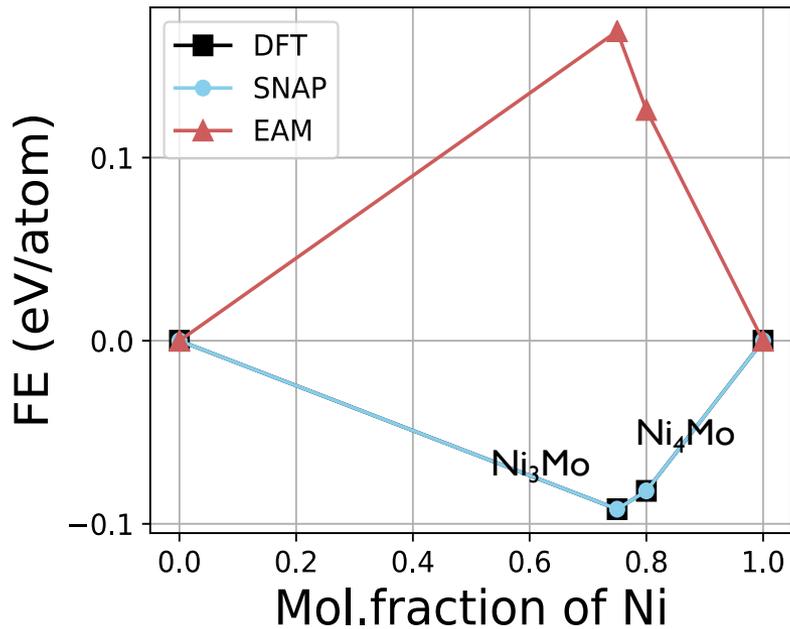
- SNAP significantly outperforms in binary and bcc Mo for energy and elastic constants.



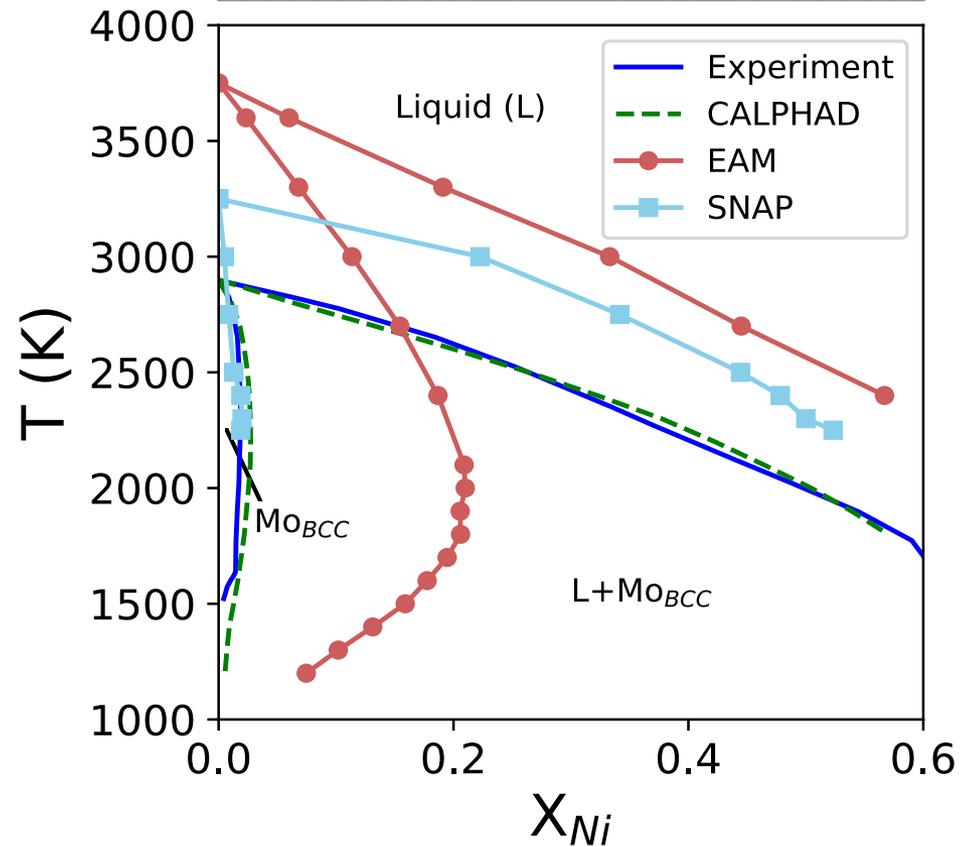
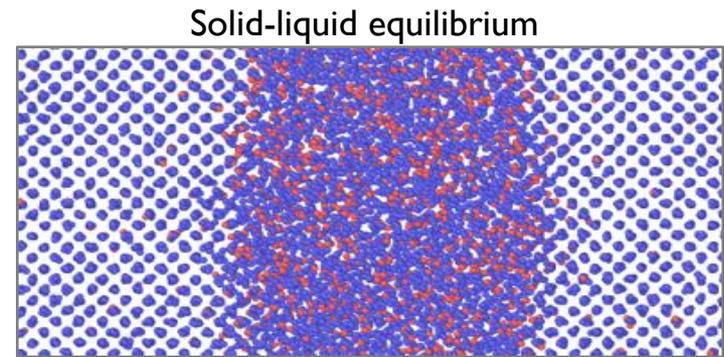
Elastic constants



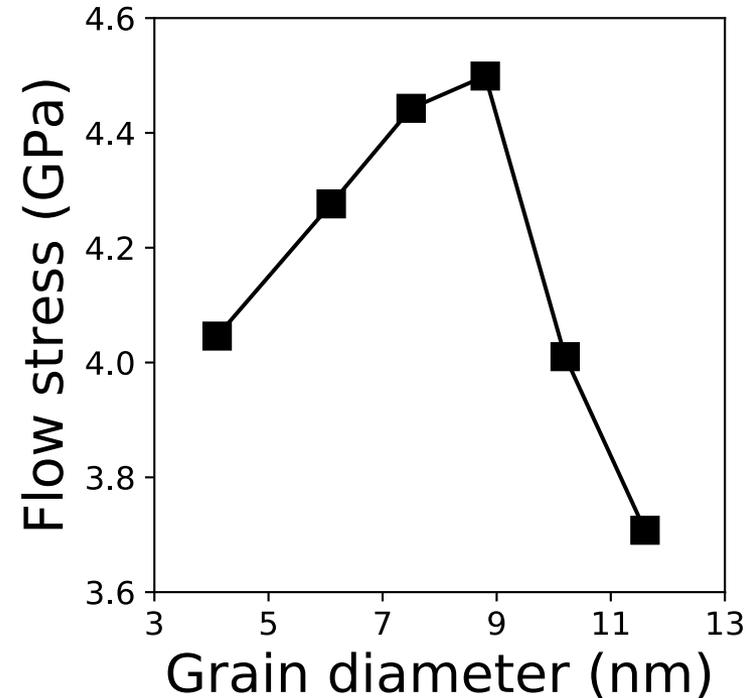
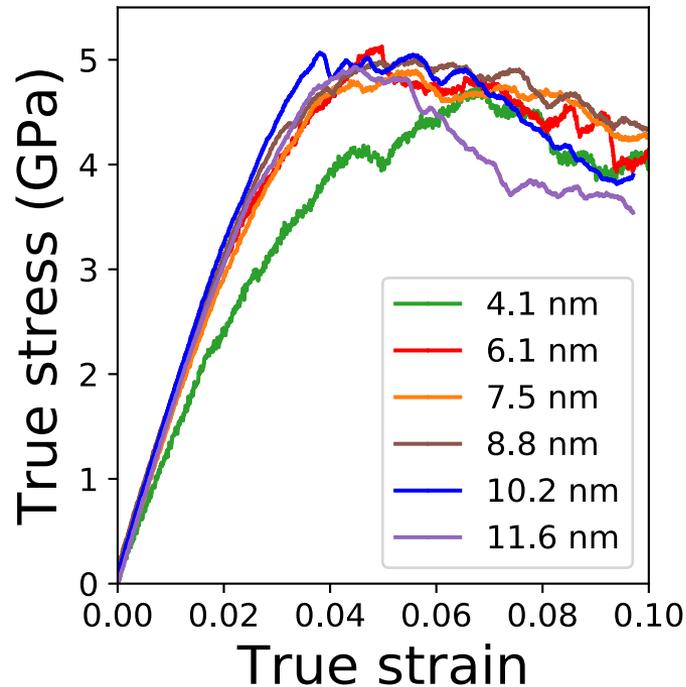
Ni-Mo phase diagram



EAM completely fails to reproduce Ni-Mo phase diagram

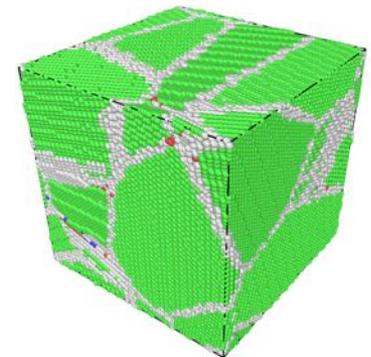


Application: Investigating Hall-Petch strengthening in Ni-Mo



- ~20,000 to ~455,000 atoms
- Uniaxially strained with a strain rate of $5 \times 10^8 \text{ s}^{-1}$
- SNAP reproduces the Hall-Petch relationship, consistent with experiment^[1].

[1] Hu et al. *Nature*, **2017**, 355, 1292



Conclusions

