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FROM RESEARCH TO INDUSTRY

**DFPT:  
Extending the 1<sup>st</sup>-order  
PAW Hamiltonian  
to GGA+SOC**

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- PAW on-site contributions
- Non-collinear magnetism within PAW
- DFPT within PAW
- Symmetries issue
- DFPT and GGA within PAW
- Taylor expansion of PAW exchange-correlation potential

$$|\psi_n\rangle = \tau |\tilde{\psi}_n\rangle = |\tilde{\psi}_n\rangle + \sum_{i,R} |\phi_i^R\rangle \langle \tilde{p}_i^R | \tilde{\psi}_n\rangle - \sum_{i,R} |\tilde{\phi}_i^R\rangle \langle \tilde{p}_i^R | \tilde{\psi}_n\rangle$$

PAW on-site contributions

### *From Norm-Conserving Pseudo-potentials to PAW*

- Non-orthogonality of the pseudo-wavefunctions
- Use of a compensation charge density
- Self-consistency of the non-local operator
- **Additional on-site contributions on partial wave basis**

$$\mathbf{H}_{PAW} = -1/2\Delta + \tilde{v}_{Hxc} + \sum_{R,i,j} |\tilde{p}_i^R\rangle D_{ij}^R \langle \tilde{p}_j^R|$$

$D_{ij}^R$  is the expression of  $\mathbf{H}$  in the partial wave basis

$$\mathbf{D}_{ij}^R := \langle \phi_i^R | -1/2\Delta + v_{Hxc}(n_1^R; n_c) | \phi_j^R \rangle - \langle \tilde{\phi}_i^R | -1/2\Delta + \tilde{v}_{Hxc}(\tilde{n}_1^R; \tilde{n}_c) | \tilde{\phi}_j^R \rangle$$

$n_1^R(\mathbf{r})$  and  $\tilde{n}_1^R(\mathbf{r})$  are « on-site densities »

$$\tilde{n}(\mathbf{r}) = \sum_n f_n \tilde{\psi}_n^*(\mathbf{r}) \tilde{\psi}_n(\mathbf{r})$$

$$n_1^R(\mathbf{r}) = \sum_{i,j} \rho_{ij}^R \phi_i(\mathbf{r}) \phi_j(\mathbf{r})$$

$$\tilde{n}_1^R(\mathbf{r}) = \sum_{i,j} \rho_{ij}^R \tilde{\phi}_i(\mathbf{r}) \tilde{\phi}_j(\mathbf{r})$$

$\rho_{ij}^R$  is the occupancy matrix

$$\rho_{ij}^R = \sum_n f_n \langle \tilde{\psi}_n | \tilde{p}_i^R \rangle \langle \tilde{p}_j^R | \tilde{\psi}_n \rangle$$

$$\rho_{ij}^R = \sum_n f_n \langle \tilde{\psi}_n | \tilde{p}_i^R \rangle \langle \tilde{p}_j^R | \tilde{\psi}_n \rangle \rightarrow \text{Time consuming!}$$

$$D_{ij}^R := \langle \phi_i^R | -\frac{1}{2}\Delta + v_{Hxc}(\mathbf{n}_1^R; \mathbf{n}_c) | \phi_j^R \rangle - \langle \tilde{\phi}_i^R | -\frac{1}{2}\Delta + \tilde{v}_{Hxc}(\tilde{\mathbf{n}}_1^R; \tilde{\mathbf{n}}_c) | \tilde{\phi}_j^R \rangle$$

$$v_{xc}(\mathbf{n}_1^R + \mathbf{n}_c)(\mathbf{r}) = v_{xc} \left( \sum_{i,j} \rho_{ij}^R \phi_i(\mathbf{r}) \phi_j(\mathbf{r}) + n_c \right) = \sum_{lm} v_{xc,lm}^R(r) Y_{lm}(\hat{r})$$

- $\rho_{ij}^R$  is **hermitian** (only real part needed) and  $D_{ij}^R$  is **symmetric**
- The PAW on-site energy  $E_{PAW} = \sum_{R,ij} \rho_{ij}^R D_{ij}^R$  is real!

- $\rho_{ij}^R$  in ABINIT: `pawrhoij(atom)%rhoijp(ij,spin)`
- $D_{ij}^R$  in ABINIT: `paw_ij(atom)%dij(ij,spin)`

packed

## Wave function

$$|\psi_n\rangle = \sum_{\alpha} \psi_n^{\alpha} |\alpha\rangle = \begin{pmatrix} |\psi_n^1\rangle \\ |\psi_n^2\rangle \end{pmatrix} \quad \sum_{\beta} \mathbf{H}_{PAW}^{\alpha\beta} |\psi_n^{\beta}\rangle = \varepsilon_n \mathbf{S}^{\alpha\alpha} |\psi_n^{\alpha}\rangle$$

## Density

$$\tilde{n}(\mathbf{r}) = \sum_n f_n \tilde{\psi}_n^*(\mathbf{r}) \tilde{\psi}_n(\mathbf{r}) = \begin{pmatrix} \tilde{n}^{11}(\mathbf{r}) & \tilde{n}^{12}(\mathbf{r}) \\ \tilde{n}^{21}(\mathbf{r}) & \tilde{n}^{22}(\mathbf{r}) \end{pmatrix} \quad \tilde{n}^{\alpha\beta}(\mathbf{r}) = \sum_n f_n \tilde{\psi}_n^{\beta*}(\mathbf{r}) \tilde{\psi}_n^{\alpha}(\mathbf{r})$$

## Magnetization

$$\left\{ \begin{array}{l} \tilde{n}(\mathbf{r}) = \sum_{\alpha} \tilde{n}^{\alpha\alpha}(\mathbf{r}) \\ \vec{\tilde{m}}(\mathbf{r}) = \sum_{\alpha\beta} \tilde{n}^{\alpha\beta}(\mathbf{r}) \vec{\sigma}^{\beta\alpha} \end{array} \right. \Rightarrow \left\{ \begin{array}{l} \tilde{n}(\mathbf{r}) = \sum_{\alpha} \tilde{n}^{\alpha\alpha}(\mathbf{r}) \\ \vec{\tilde{m}}(\mathbf{r}) = \sum_{\alpha\beta} \tilde{n}^{\alpha\beta}(\mathbf{r}) \vec{\sigma}^{\beta\alpha} \end{array} \right. \rightarrow \text{Pauli Matrixes}$$

## On-site densities

$$\left\{ \begin{array}{l} n_1^R(\mathbf{r}) = \sum_{\alpha} n_1^{R,\alpha\alpha}(\mathbf{r}) \\ \vec{m}_1^R(\mathbf{r}) = \sum_{\alpha\beta} n_1^{R,\alpha\beta}(\mathbf{r}) \vec{\sigma}^{\beta\alpha} \end{array} \right. \Rightarrow \left\{ \begin{array}{l} n_1^R(\mathbf{r}) = \sum_{\alpha} \sum_{i,j} \rho_{ij}^{R\alpha\alpha} \phi_i(\mathbf{r}) \phi_j(\mathbf{r}) \\ \vec{m}_1^R(\mathbf{r}) = \sum_{\alpha\beta} \sum_{i,j} \rho_{ij}^{R\alpha\beta} \phi_i(\mathbf{r}) \phi_j(\mathbf{r}) \vec{\sigma}^{\beta\alpha} \end{array} \right.$$

## Occupancy matrix

$$\rho_{ij}^{\alpha\beta R} = \sum_n f_n \langle \tilde{\psi}_n^{\beta} | \tilde{p}_i^R \rangle \langle \tilde{p}_j^R | \tilde{\psi}_n^{\alpha} \rangle \Rightarrow \rho_{ij}^R = \sum_{\alpha} \rho_{ij}^{R\alpha\alpha}, \quad \vec{m}_{ij}^R = \sum_{\alpha\beta} \rho_{ij}^{R\alpha\beta} \vec{\sigma}^{\beta\alpha}$$

## NL Hamiltonian

$$\begin{aligned} D_{ij}^{\alpha\beta R} := & \left\langle \phi_i^R \left| \left[ -\frac{1}{2}\Delta + v_H(n_1^R + n_c) \right] \delta_{\alpha\beta} + v_{xc} \left( n_1^{R\alpha\beta} + n_c \right) \right| \phi_j^R \right\rangle \\ & - \left\langle \tilde{\phi}_i^R \left| \left[ -\frac{1}{2}\Delta + v_H(\tilde{n}_1^R + \tilde{n}_c + \hat{n}) \right] \delta_{\alpha\beta} + \tilde{v}_{xc} \left( \tilde{n}_1^{R\alpha\beta} + \tilde{n}_c \right) \right| \tilde{\phi}_j^R \right\rangle \end{aligned}$$

## Hamiltonian

$$\begin{aligned}
 D_{ij}^{\alpha\beta R} &:= \left\langle \phi_i^R \left| \left[ -\frac{1}{2}\Delta + v_H(n_1^R + n_c) \right] \delta_{\alpha\beta} + v_{xc} \left( n_1^{R\alpha\beta} + n_c \right) \right| \phi_j^R \right\rangle \\
 &- \left\langle \tilde{\phi}_i^R \left| \left[ -\frac{1}{2}\Delta + v_H(\tilde{n}_1^R + \tilde{n}_c + \hat{n}) \right] \delta_{\alpha\beta} + \tilde{v}_{xc} \left( \tilde{n}_1^{R\alpha\beta} + \tilde{n}_c \right) \right| \tilde{\phi}_j^R \right\rangle \\
 &+ \left\langle \phi_i^R \left| v_{SOC}^{\alpha\beta} (n_1^R + n_c) \right| \phi_j^R \right\rangle
 \end{aligned}$$

$$\left\langle \alpha, \phi_i^R \left| \frac{\hbar^2}{2m_e^2 c^2} \frac{1}{r} \frac{dV(n_1^R)}{dr} (\vec{L} \cdot \vec{S}) \right| \beta, \phi_j^R \right\rangle$$

$$\frac{\hbar^2}{2m_e^2 c^2} \frac{1}{\sqrt{4\pi}} \left\langle \alpha, Y_{l_i m_i} \left| (\vec{L} \cdot \vec{S}) \right| \beta, Y_{l_j m_j} \right\rangle \int_{\Omega_R} dr \frac{1}{r} \frac{dV_0(r)}{dr} \phi_i^R(r) \phi_j^R(r)$$

2 approximations:

- Potential is quasi-spherical
- Density is mainly in PAW regions

Complex quantity



- The PAW on-site energy should be real:

$$\sum_{R,ij} \sum_{\alpha,\beta} \rho_{ij}^{R\alpha\beta} D_{ij}^{R\beta\alpha}$$

- The symmetry relations are:

$$\rho_{ij}^{R\alpha\beta} = \rho_{ji}^{R\beta\alpha} \Rightarrow \rho_{ij}^R = \rho_{ji}^R, \vec{m}_{ij}^R = \vec{m}_{ji}^R$$

$$D_{ij}^{R\alpha\beta} = D_{ji}^{R\beta\alpha}$$

- $\rho_{ij}^R, \vec{m}_{ij}^R$  in ABINIT: `pawrhoij(atom)%rhoijp(2× ij,1:4)`

- $D_{ij}^{\alpha\beta R}$  in ABINIT: `paw_ij(atom)%dij(2× ij,1:4)`

Complex

## DFPT basics

$\lambda$  small perturbation  $X^{(i)} = \frac{1}{i!} \frac{d^i X}{d\lambda^i}$

$$X(\lambda) = X^{(0)} + \lambda X^{(1)} + \lambda^2 X^{(2)} + \dots$$

2N+1 theorem

$$E^{(2p)} = \min_{\psi_{m,trial}^{(p)}} \left( E \left[ \sum_{i=0}^{p-1} \lambda^i \psi_m^{(i)} + \lambda^p \psi_{m,trial}^{(p)}, \lambda \right] \right)$$

*Sternheimer equation*

$$P_C^* \left( \mathbf{H}_{PAW}^{(0)} - \varepsilon_n^{(0)} \mathbf{S}^{(0)} \right) P_C |\tilde{\psi}_n^{(1)}\rangle = -P_C^* \left( \mathbf{H}_{PAW}^{(1)} - \varepsilon_n^{(0)} \mathbf{S}^{(1)} \right) P_C |\tilde{\psi}_n^{(0)}\rangle$$

Parallel transport gauge

$$\sum_{i=0}^p \langle \tilde{\psi}_n^{(p-i)} | \tilde{\psi}_m^{(i)} \rangle = 0$$

$$v_{\text{ext}}^{(0)}(\mathbf{r} + \mathbf{R}_a, \mathbf{r}' + \mathbf{R}_a) = v_{\text{ext}}^{(0)}(\mathbf{r}, \mathbf{r}')$$

$$v_{\text{ext},\mathbf{q}}^{(1)}(\mathbf{r} + \mathbf{R}_a, \mathbf{r}' + \mathbf{R}_a) = e^{i\mathbf{q}\cdot\mathbf{R}_a} v_{\text{ext},\mathbf{q}}^{(1)}(\mathbf{r}, \mathbf{r}')$$

$$\psi_{n,\mathbf{k},\mathbf{q}}^{(1)}(\mathbf{r} + \mathbf{R}_a) = e^{i(\mathbf{k}+\mathbf{q})\cdot\mathbf{R}_a} \psi_{n,\mathbf{k},\mathbf{q}}^{(1)}(\mathbf{r})$$

$$\begin{aligned} X(\lambda) = & X^{(0)} + \left( \lambda X_{\mathbf{q}}^{(1)} + \lambda^* X_{-\mathbf{q}}^{(1)} \right) \\ & + \left( \lambda^2 X_{\mathbf{q},\mathbf{q}}^{(2)} + \lambda \lambda^* X_{\mathbf{q},-\mathbf{q}}^{(2)} + \lambda^* \lambda X_{-\mathbf{q},\mathbf{q}}^{(2)} + \lambda^{*2} X_{-\mathbf{q},-\mathbf{q}}^{(2)} \right) + \dots \end{aligned}$$

**Trick:**  
Factorisation of the phase

$$\bar{n}_{\mathbf{q}}^{(1)}(\mathbf{r}) = e^{-i\mathbf{q}\cdot\mathbf{r}} n_{\mathbf{q}}^{(1)}(\mathbf{r})$$

$$\rho_{ij,\mathbf{q}}^{R(1)} = \sum_n f_n \left[ \begin{aligned} & \langle \tilde{\psi}_{n,\mathbf{q}}^{(1)} | \tilde{p}_i^R \rangle \langle \tilde{p}_j^R | \tilde{\psi}_n^{(0)} \rangle + \langle \tilde{\psi}_n^{(0)} | \tilde{p}_i^R \rangle \langle \tilde{p}_j^R | \tilde{\psi}_{n,\mathbf{q}}^{(1)} \rangle \\ & + \langle \tilde{\psi}_n^{(0)} | (\tilde{p}_i^R \rangle \langle \tilde{p}_j^R)^{(1)}_{\mathbf{q}} | \tilde{\psi}_n^{(0)} \rangle \end{aligned} \right]$$

- $\mathbf{q}$ -periodicity of  $\tilde{\psi}_{n,\mathbf{q}}^{(1)}$
- Factorisation of the phase

$$\Rightarrow \bar{\rho}_{ij,\mathbf{q}}^{R(1)} = e^{-i\mathbf{q}\cdot\mathbf{R}_a} \rho_{ij,\mathbf{q}}^{R(1)}$$

$$\Rightarrow \bar{D}_{ij,\mathbf{q}}^{R(1)} = e^{-i\mathbf{q}\cdot\mathbf{R}_a} D_{ij,\mathbf{q}}^{R(1)}$$

Complex

- The PAW 2<sup>nd</sup>-order on-site energy is:

$$E_{PAW,q}^{(2)} = \sum_{R,ij} \bar{\rho}_{ij,q}^{R(1)} \bar{D}_{ij,q}^{R(1)} + \left. \frac{\partial \rho_{ij}^R}{\partial \lambda} \right|_{\psi^{(0)}}^{(2)} D_{ij}^R + \rho_{ij}^R \left. \frac{\partial D_{ij}^R}{\partial \lambda} \right|_{\psi^{(0)}}^{(2)}$$

- The symmetry relations are:

$$\bar{\rho}_{ij,q}^{R(1)} = \bar{\rho}_{ji,-q}^{R(1)}$$

$$\bar{D}_{ij,q}^{R(1)} = \bar{D}_{ji,-q}^{R(1)}$$

⇒ Problem!  
To have the full matrixes,  
we need to compute  
two **q**-vectors  
  
or... change the structure  
of the PAW code

*Using a trick related to the symmetry of the imaginary part*

- $\rho_{ij,q}^{R(1)}$  in ABINIT: `pawrhoij1(atom)%rhoijp(2× ij,spin)`
- $D_{ij,q}^{R(1)}$  in ABINIT: `paw_ij1(atom)%dij(2× ij,spin)`

Complex

- How to have a storage compatible with symmetries and imaginary numbers?  
How to use existing routines?

And mix

$$\rho_{ij}^{R\alpha\beta} = \rho_{ji}^{R\beta\alpha} \Rightarrow \rho_{ij}^R = \rho_{ji}^R, \vec{m}_{ij}^R = \vec{m}_{ji}^R$$

$$D_{ij}^{R\alpha\beta} = D_{ji}^{R\beta\alpha}$$

→ Complex due to SOC

and

$$\bar{\rho}_{ij,q}^{R(1)} = \bar{\rho}_{ji,-q}^{R(1)}$$

$$\bar{D}_{ij,q}^{R(1)} = \bar{D}_{ji,-q}^{R(1)}$$

→ Complex phase

$$\rho_{ij,q}^{R\alpha\beta(1)} = \rho_{ji,-q}^{R\beta\alpha(1)} \Rightarrow \rho_{ij,q}^{R(1)} = \rho_{ji,q}^{R(1)}, \vec{m}_{ij,q}^{R(1)} = \vec{m}_{ji,q}^{R(1)}$$

$$D_{ij,q}^{R\alpha\beta(1)} = D_{ji,-q}^{R\beta\alpha(1)}$$

$$\rho_{ij,q}^{R(1)} = [A_{ij}^R + iA_{ij}^I] \cos(q\mathbf{R}_a) + i \cdot [B_{ij}^R + iB_{ij}^I] \sin(q\mathbf{R}_a)$$

Similar storage for  $\vec{m}_{ij,q}^{R(1)}$  and  $D_{ij,q}^{R\alpha\beta(1)}$

Imaginary number  
due to SOC

DFPT phase

- $A_{ij}^R, A_{ij}^I, B_{ij}^R, B_{ij}^I$  have the single  $ij$  symmetry of the initial coding
- All initial routines can be reused, with small changes
- The  $\rho_{ij}^R$  symmetrization routine is applied on each 4 components

- $\rho_{ij,q}^{R(1)}$ ,  $\vec{m}_{ij,q}^{R(1)}$  in ABINIT

```
pawrhoij1(atom)%rhoijp(cplex_rhoij* qphase* nlmn,1:nspden)
```

- $D_{ij,q}^{R\alpha\beta(1)}$  in ABINIT

```
paw_ij1(atom)%dij(cplex_dij* qphase* nlmn,1:nspden)
```

*With this internal representation...*

- We do not change libPAW interfaces  
Other codes do not have to change (for single GS calc.)
- A generic `pawaccenergy` routine has been created and is used for all  $\sum_{ij} \rho_{ij} D_{ij}$ -like accumulations.
- Changes in existing code have been few



- First-order change of the XC potential (factorized phase)

$$\bar{v}_{xc,\mathbf{q}}^{(1)}(\mathbf{r}) = \left. \frac{dv_{xc}}{dn} \right|_{n^{(0)}(\mathbf{r})} \left( \bar{n}_{\mathbf{q}}^{(1)}(\mathbf{r}) + \bar{n}_{c,\mathbf{q}}^{(1)}(\mathbf{r}) \right)$$

- Spin-polarized LDA

$$\bar{v}_{xc,\mathbf{q}}^{\uparrow(1)}(\mathbf{r}) = \left. \frac{d^2 f_{xc}}{dn^{\uparrow} dn^{\uparrow}} \right|_{n^{(0)}(\mathbf{r})} \bar{n}_{\mathbf{q}}^{\uparrow(1)}(\mathbf{r}) + \left. \frac{d^2 f_{xc}}{dn^{\uparrow} dn^{\downarrow}} \right|_{n^{(0)}(\mathbf{r})} \bar{n}_{\mathbf{q}}^{\downarrow(1)}(\mathbf{r})$$

- Non-collinear LDA – See *E. Bousquet's talk*

$$v_{xc,\mathbf{q}}^{(1)}(\mathbf{r}) = \frac{1}{2} \left( \left. \frac{d^2 f_{xc}}{dn^{\uparrow} dn^{\uparrow}} \right|_{n^{(0)}} \left( \frac{\bar{n}_{\mathbf{q}}^{\uparrow(1)} + \bar{m}_{z,\mathbf{q}}^{\uparrow(1)}}{2} \right) + \left. \frac{d^2 f_{xc}}{dn^{\downarrow} dn^{\downarrow}} \right|_{n^{(0)}} \left( \frac{\bar{n}_{\mathbf{q}}^{\uparrow(1)} - \bar{m}_{z,\mathbf{q}}^{\uparrow(1)}}{2} \right) + \left. \frac{d^2 f_{xc}}{dn^{\uparrow} dn^{\downarrow}} \right|_{n^{(0)}} \bar{n}_{\mathbf{q}}^{(1)} \right)$$

- XC potential

$$\bar{v}_{xc}(\mathbf{r}) = \frac{\partial f_{xc}}{\partial n} - \vec{\nabla} \cdot \frac{\partial f_{xc}}{\partial \vec{g}} \quad \vec{g} = \vec{\nabla} n$$

- 1<sup>st</sup>-order change of XC potential (factorized phase, polarized)

$$\bar{v}_{xc,q}^{\uparrow(1)} =$$

$$\left[ \frac{\partial^2 f_{xc}}{\partial n^\uparrow \partial n^\uparrow} \bar{n}_q^{\uparrow(1)} + \frac{\partial^2 f_{xc}}{\partial n^\uparrow \partial n^\downarrow} \bar{n}_q^{\downarrow(1)} + \frac{\partial^2 f_{xc}}{\partial n^\uparrow \partial g^\uparrow} \frac{\vec{g}^\uparrow \cdot \vec{g}_q^{\uparrow(1)}}{g^\uparrow} + \frac{\partial^2 f_{xc}}{\partial n^\uparrow \partial g^\downarrow} \frac{\vec{g}^\downarrow \cdot \vec{g}_q^{\downarrow(1)}}{g^\downarrow} \right]$$

$$- \vec{\nabla} \cdot \left[ \begin{aligned} & \left( \frac{1}{g^\uparrow} \frac{\partial f_x}{\partial g^\uparrow} + \frac{1}{g} \frac{\partial f_c}{\partial g} \right) \vec{g}_q^{\uparrow(1)} + \frac{1}{g} \frac{\partial f_c}{\partial g} \vec{g}_q^{\downarrow(1)} \\ & + \left( \frac{1}{g^\uparrow} \frac{\partial^2 f_x}{\partial g^\uparrow \partial n^\uparrow} \bar{n}_q^{\uparrow(1)} + \frac{\partial}{\partial g^\uparrow} \left[ \frac{1}{g^\uparrow} \frac{\partial f_x}{\partial g^\uparrow} \right] \frac{\vec{g}^\uparrow \cdot \vec{g}_q^{\uparrow(1)}}{g^\uparrow} \right) \vec{g}^\uparrow \\ & + \left( \frac{1}{g} \frac{\partial^2 f_c}{\partial g \partial n^\uparrow} \bar{n}_q^{\uparrow(1)} + \frac{1}{g} \frac{\partial^2 f_c}{\partial g \partial n^\downarrow} \bar{n}_q^{\downarrow(1)} + \frac{\partial}{\partial g} \left[ \frac{1}{g} \frac{\partial f_c}{\partial g} \right] \frac{\vec{g} \cdot \vec{g}_q^{\downarrow(1)}}{g} \right) \vec{g} \end{aligned} \right]$$

19 GS terms  
to store

- « Brute force » approach

$$v_{xc}(\mathbf{r}) = v_{xc}(r, \theta, \varphi) = v_{xc}[n(r, \theta, \varphi)]$$

Same formulae as previous slide

- « Taylor development » approach (LDA/GGA version, non polarized)

$$v_{xc}(\mathbf{r}) = v_{xc}[n_s(\mathbf{r})] + [n(\mathbf{r}) - n_s(\mathbf{r})] \left. \frac{dv_{xc}}{dn} \right|_{n_s} + \frac{1}{2} [n(\mathbf{r}) - n_s(\mathbf{r})]^2 \left. \frac{d^2v_{xc}}{dn^2} \right|_{n_s}$$

$$v_{xc}(\mathbf{r}) = \sum_{lm} v_{xc_{lm}}(r) Y_{lm}(\theta, \varphi)$$

Finite differences

ABINIT keyword  
**pawxdev**

$$v_{xc_{lm}}(r) = \sqrt{4\pi} v_{xc}[n_s(\mathbf{r})] + \frac{1}{2\sqrt{4\pi}} \left. \frac{d^2v_{xc}}{dn^2} \right|_{n_s} \sum_{l'>0} n_{l'm'}(r)^2 \quad \text{if } l=0$$

$$v_{xc_{lm}}(r) = n_{lm}(r) \left. \frac{dv_{xc}}{dn} \right|_{n_s} + \frac{1}{2} \left. \frac{d^2v_{xc}}{dn^2} \right|_{n_s} \sum_{l'l''>0} n_{l'm'}(r) n_{l''m''}(r) g_{l'm',l''m''}^{lm}$$

- « Taylor development » approach

$$\begin{aligned}
 v_{xc}(\mathbf{r}) = & v_{xc}[n_s, \vec{m}_s] + [n - n_s] \frac{dv_{xc}}{dn} \Big|_{n_s, \vec{m}_s} + \frac{[\vec{m} - \vec{m}_s] \cdot \vec{m}_s}{m_s} \frac{dv_{xc}}{dn} \Big|_{n_s, \vec{m}_s} \\
 & + \frac{1}{2} [n(\mathbf{r}) - n_s(\mathbf{r})]^2 \frac{d^2 v_{xc}}{dn^2} \Big|_{n_s, \vec{m}_s} + \frac{1}{2} \left[ \frac{[\vec{m} - \vec{m}_s] \cdot \vec{m}_s}{m_s} \right]^2 \frac{d^2 v_{xc}}{dn^2} \Big|_{n_s, \vec{m}_s} \\
 & + [n - n_s] \left[ \frac{[\vec{m} - \vec{m}_s] \cdot \vec{m}_s}{m_s} \right]^2 \frac{d^2 v_{xc}}{dn dm} \Big|_{n_s, \vec{m}_s}
 \end{aligned}$$

$$\begin{aligned}
 v_{xc lm}(r) = & n_{lm} \frac{dv_{xc}}{dn} \Big|_{n_s, \vec{m}_s} + \frac{\vec{m}_{lm} \cdot \vec{m}_s}{m_s} \frac{dv_{xc}}{dm} \Big|_{n_s, \vec{m}_s} + \\
 & \frac{1}{2} \frac{d^2 v_{xc}}{dn^2} \Big|_{n_s, \vec{m}_s} \sum_{l'l''>0} [n_{l'm'} n_{l''m''} \mathcal{G}_{l'm', l''m''}^{lm}] + \\
 & \frac{1}{2} \frac{d^2 v_{xc}}{dm^2} \Big|_{n_s, \vec{m}_s} \sum_{l'l''>0} \left[ \frac{(\vec{m}_{l'm'} \cdot \vec{m}_s)(\vec{m}_{l''m''} \cdot \vec{m}_s)}{m_s^2} \mathcal{G}_{l'm', l''m''}^{lm} \right] \\
 & + \frac{d^2 v_{xc}}{dn dm} \Big|_{n_s, \vec{m}_s} \sum_{l'l''>0} \left[ \frac{n_{l'm'} (\vec{m}_{l''m''} \cdot \vec{m}_s)}{m_s} \mathcal{G}_{l'm', l''m''}^{lm} \right] \quad \text{if } l > 0
 \end{aligned}$$

$$\bar{v}_{xc,\mathbf{q}}^{\uparrow(1)} = \left[ \frac{\partial^2 f_{xc}}{\partial n \partial n} \bar{n}_{\mathbf{q}}^{(1)} + \frac{\partial^2 f_{xc}}{\partial n \partial g} \frac{\vec{g} \cdot \vec{g}_{\mathbf{q}}^{\ddagger(1)}}{g} \right] - \vec{\nabla} \cdot \left[ \frac{1}{g} \frac{\partial f_{xc}}{\partial g} \vec{g}_{\mathbf{q}}^{\uparrow(1)} + \left( \frac{1}{g} \frac{\partial^2 f_{xc}}{\partial g \partial g \partial n} \bar{n}_{\mathbf{q}}^{\uparrow(1)} + \frac{\partial}{\partial g} \left[ \frac{1}{g} \frac{\partial f_{xc}}{\partial g} \right] \frac{\vec{g} \cdot \vec{g}_{\mathbf{q}}^{\ddagger(1)}}{g} \right) \vec{g} \right]$$

$$v_{xc,\mathbf{q}lm}^{\uparrow(1)}(r) = \int d\Omega \bar{v}_{xc,\mathbf{q}}^{\uparrow(1)}(r, \theta, \varphi) Y_{lm}(\theta, \varphi)$$

Non polarized!

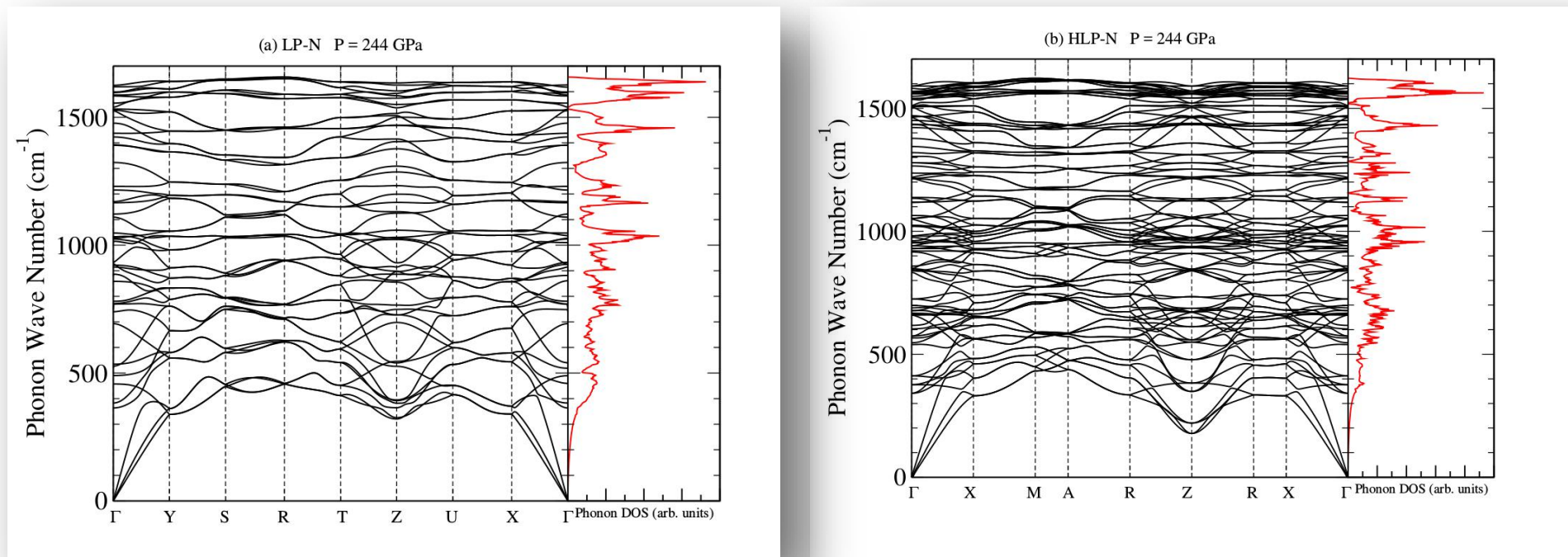
- « Taylor development » approach

$$v_{xc,\mathbf{q}lm}^{\uparrow(1)}(r) = \sum_{l'm',l''m''} \left\{ \left[ K_{xc,l'm'}^1 \bar{n}_{\mathbf{q}}^{(1)}{}_{l''m''} + K_{xc,l'm'}^2 \left( \vec{g} \cdot \vec{g}_{\mathbf{q}}^{\ddagger(1)} \right)_{l''m''} \right] \mathcal{G}_{l'm',l''m''}^{lm} \right\}$$

$$- \vec{\nabla} \cdot \left[ \sum_{l'm',l''m''} \left\{ \left[ \left( K_{xc,l'm'}^2 \bar{n}_{\mathbf{q}}^{(1)}{}_{l''m''} + K_{xc,l'm'}^3 \left( \vec{g} \cdot \vec{g}_{\mathbf{q}}^{\ddagger(1)} \right)_{l''m''} \right) \vec{g} + V_{xc,l'm'}^g \left( \vec{g}_{\mathbf{q}}^{\ddagger(1)} \right)_{l''m''} \right] \mathcal{G}_{l'm',l''m''}^{lm} \right\} \right]$$

$$V_{xc}^g = \frac{1}{g} \frac{\partial f_{xc}}{\partial g} \quad K_{xc}^1 = \frac{\partial^2 f_{xc}}{\partial n \partial n} \quad K_{xc}^2 = \frac{1}{g} \frac{\partial^2 f_{xc}}{\partial n \partial g} \quad K_{xc}^3 = \frac{1}{g} \frac{\partial}{\partial g} \left[ \frac{1}{g} \frac{\partial f_{xc}}{\partial g} \right]$$

$$\bar{v}_{xc,\mathbf{q}}^{\uparrow(1)} \left( n, n_{\mathbf{q}}^{(1)}, \vec{m}, \vec{m}_{\mathbf{q}}^{(1)} \right)_{lm} = \dots$$

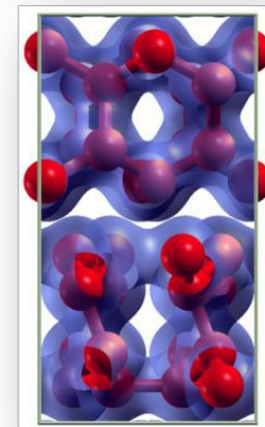


**Figure S9:** Phonon band structure of the LP-N (a) and HLP-N (b) phases at 244 GPa along with their vibrational DOS. The optical phonons in HLP-N (all but three lowest-frequency modes) at the  $\Gamma$  point are at frequencies between  $\sim 340$  and  $\sim 1600$   $\text{cm}^{-1}$ .

2 dynamically phases (polymeric) stable  
1 is seen experimentally

PAW+DFPT+GGA

*Hexagonal Layered Polymeric Nitrogen Phase Synthesized near 250 Gpa,*  
Laniel, Geneste, Weck, Mezouar, Loubeyre, PRL **122**, 066001 (2019)



- **Current status** of PAW+DFPT implementation:
  - DFPT+GGA= in ABINIT official version 8.10
  - DFPT+SPINORS= in private version, only for nspden=1
  - DFPT+SOC= in private version, only for nspden=1
  - DFPT+SOC+GGA= in private version, only for nspden=1
- Private version will be merged soon, after more checking
- Available for **all perturbations**, except strain perturbation, including incommensurate perturbations
- Separately, each formalism is not so complicated  
But all together, implementation becomes heavy:  
PAW+DFPT+SPINORS+SOC+GGA
- Change of internal PAW **datastructures** was necessary
- **On-going** developments (first in “brute force” approach)
  - meta-GGA
  - GGA+3<sup>rd</sup> order DFPT



