

DE LA RECHERCHE À L'INDUSTRIE

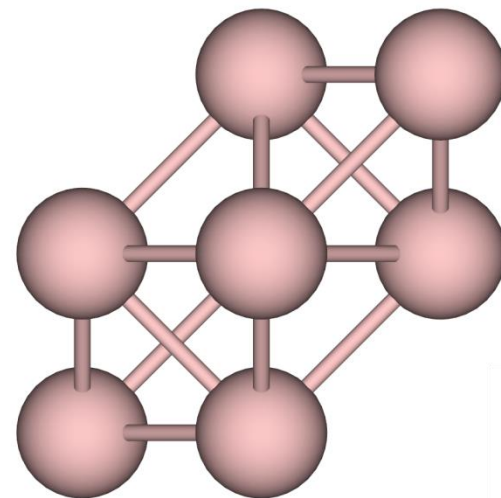
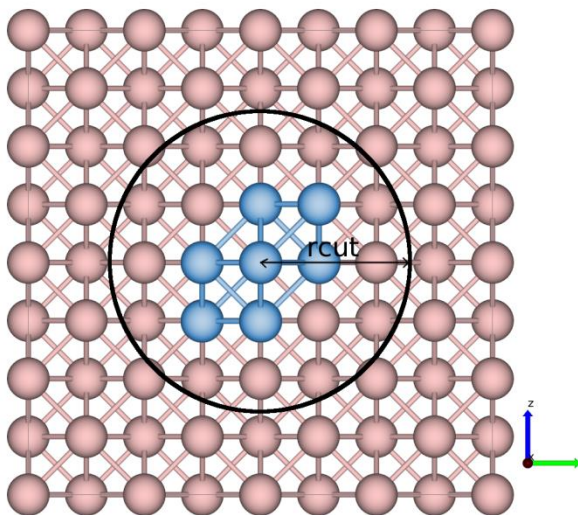


# a-TDEP : Temperature Dependent Effective Potential for ABINIT

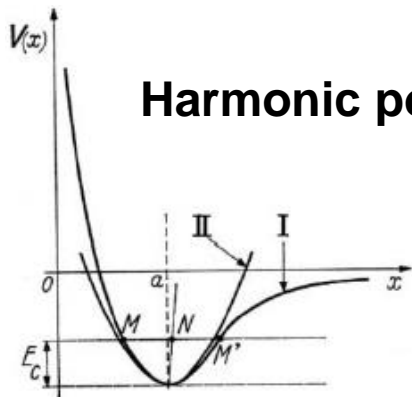
F. Bottin<sup>1</sup>, J. Bouchet<sup>1</sup> & J. Bieder<sup>2</sup>

1. CEA, DAM, DIF, F-91297 ARPAJON, FRANCE.

2. THEORETICAL MATERIALS PHYSICS, Q-MAT, CESAM,  
UNIVERSITE DE LIEGE, BELGIUM



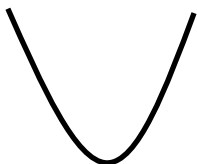
# HARMONIC, QUASI-HARMONIC & ANHARMONIC



**Harmonic potential**

The HA gives good results in numerous cases, except when...

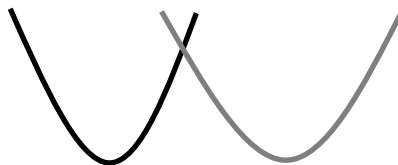
$\omega(0K, V)$



**Harmonic approximation**

The temperature is involved only through the filling of the energy levels

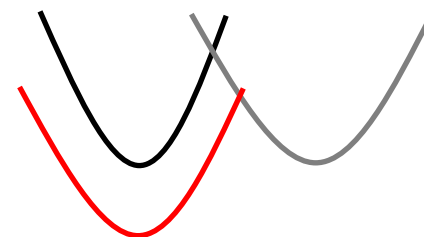
$\omega(0K, V(T))$



**Quasi-harmonic approximation**

Includes the thermal expansion

$\omega(T, V(T))$



**Anharmonic effects**

The phonon spectra explicitly depends on the temperature

The temperature is explicitly taken into account (DM, MC...)

Calculations at 0 K  
(DFPT, DF...)

- ❑ **Capabilities of a-TDEP : thermodynamic & elastic**
- ❑ **Some examples : Si, MgO, U, Fe, Pu**

Grüneisen parameter :

$$\gamma = V \left( \frac{\partial P}{\partial U} \right)_V$$

Specific heat :

$$C_V = \left( \frac{\partial U}{\partial T} \right)_V$$

$$\alpha_p = \frac{\gamma C_V}{B_T V}$$

Thermal expansion :

$$\alpha_p = \frac{1}{V} \left( \frac{\partial V}{\partial T} \right)_P$$

Bulk Modulus :

$$\kappa_T = -\frac{1}{V} \left( \frac{\partial V}{\partial P} \right)_T$$

$$B_T = \frac{1}{\kappa_T}$$

All these quantities only depends on

**Interatomic force constants (IFC),**  
which allow to obtain the phonon frequencies

$$\omega(V(T), T)$$

but also the thermal expansion, the Grüneisen parameter, the specific heat, the Bulk modulus, the free energy, the lattice thermal conductivity, the thermal pressure, the elastic constants, the sound velocities...

How to obtain IFC(T)?

# THE INTERATOMIC FORCE CONSTANTS (II)

**Taylor expansion of the potential energy around equilibrium :**

$$U_{\text{model}} = U_0 + \sum_{i,\alpha} \Pi_i^\alpha u_i^\alpha + \frac{1}{2!} \sum_{ij,\alpha\beta} \Phi_{ij}^{\alpha\beta} u_i^\alpha u_j^\beta + \frac{1}{3!} \sum_{ijk,\alpha\beta\gamma} \Psi_{ijk}^{\alpha\beta\gamma} u_i^\alpha u_j^\beta u_k^\gamma + 0(u^4)$$

**In the framework of this model, the forces are :**

$$\begin{aligned} \mathcal{F}_{i,\text{model}}^\alpha &= -\Pi_i^\alpha - \sum_{j,\beta} \Phi_{ij}^{\alpha\beta} u_j^\beta - \frac{1}{2} \sum_{jk,\beta\gamma} \Psi_{ijk}^{\alpha\beta\gamma} u_j^\beta u_k^\gamma + 0(u^3) \\ &= -\sum_p \frac{1}{p!} \sum_{j\dots,\beta\dots} \Theta_{ij\dots}^{\alpha\beta\dots}(p) u_j^{\beta\dots} && \text{Non-linear in } u \\ &= \sum_{p\lambda} f_{i,\lambda p}^\alpha(\mathbf{u}) \theta^{\lambda p} && \text{Linear in } \theta \end{aligned}$$

**After an AIMD run, we obtain a set of (  $\mathbf{F}_{MD}$  ;  $\mathbf{u}_{MD}$  ) at each time step  $t$ , so we search  $\theta$  such as :**

$$\mathcal{F}_{i,\text{MD}}^\alpha(t) = \sum_{p\lambda} f_{i,\lambda p}^\alpha(\mathbf{u}_{\text{MD}}(t)) \theta^{\lambda p}$$

# THE INTERATOMIC FORCE CONSTANTS (III)

One can solve this system of equations by searching its least squares solution. Let us define the residual :  $\mathcal{R} = \mathbf{F}_{MD} - \mathbf{f} \cdot \Theta$

One measure of smallness of the residual is to choose  $\theta$  such that  $\mathcal{S} = \min(\mathcal{R}^T \cdot \mathcal{R}) = \|\mathbf{F}_{MD} - \mathbf{f} \cdot \Theta\|^2$  is as small as possible.

The solution giving the lowest residual is the following least squares solution :  $\Theta = \mathbf{f}^\dagger \cdot \mathbf{F}_{MD}$

Once the IFC obtained, we can compute the dynamical matrix :

$$D_{ij}^{\alpha\beta}(\mathbf{q}) = \frac{1}{N_a} \sum_{ab} \frac{\Phi_{ij}^{\alpha\beta}(a, b)}{\sqrt{M_i M_j}} \exp(i\mathbf{q} \cdot [\mathbf{R}(b) - \mathbf{R}(a)])$$

and finally the phonon modes

$$\sum_{\beta, j} D_{ij}^{\alpha\beta}(\mathbf{q}) X_{js}^{\beta}(\mathbf{q}) = \omega_s^2(\mathbf{q}) X_{is}^{\alpha}(\mathbf{q})$$

Grüneisen parameter :

$$\gamma_i = - \left( \frac{\partial \ln \omega_i}{\partial \ln V} \right)_T = - \frac{V}{\omega_i} \left( \frac{\partial \omega_i}{\partial V} \right)_T \quad \gamma = \frac{\sum_{i=1}^{3N_a} \gamma_i C_{V,i}}{C_V}$$

$$\gamma_s(\mathbf{q}) = - \frac{1}{6\omega_s^2(\mathbf{q})} \sum_{ijk,bc,\alpha\beta\gamma} \Psi_{ijk}^{\alpha\beta\gamma}(0,b,c) \frac{X_{is}^{\alpha}(\mathbf{q}) X_{js}^{\beta}(\mathbf{q})}{\sqrt{M_i M_j}} \tau_k^{\gamma} \exp [i\mathbf{q} \cdot \mathbf{R}(b)]$$

$$\alpha_p = \frac{\gamma C_V}{B_T V}$$

Thermal expansion :

$$\alpha_p = \frac{1}{B} \sum_{i=1}^{3N_a} \left( - \frac{C_{V,i}}{\omega_i} \right) \left( \frac{\partial \omega_i}{\partial V} \right)_T$$



Specific heat :

$$C_V = 3N_a k_B \int_0^{\omega_{max}} \left( \frac{\beta \hbar \omega}{2 \sinh(\frac{\beta \hbar \omega}{2})} \right)^2 g(\omega) d\omega$$

$$g(\omega) = \frac{1}{3N_a} \sum_{i=1}^{N_a} \delta(\omega - \omega_i)$$

$$\alpha_p = \frac{\gamma C_V}{B_T V}$$

Bulk Modulus :

$$C_{\alpha\beta\gamma\delta} = A_{\alpha\gamma\beta\delta} + A_{\beta\gamma\alpha\delta} - A_{\alpha\beta\gamma\delta}$$

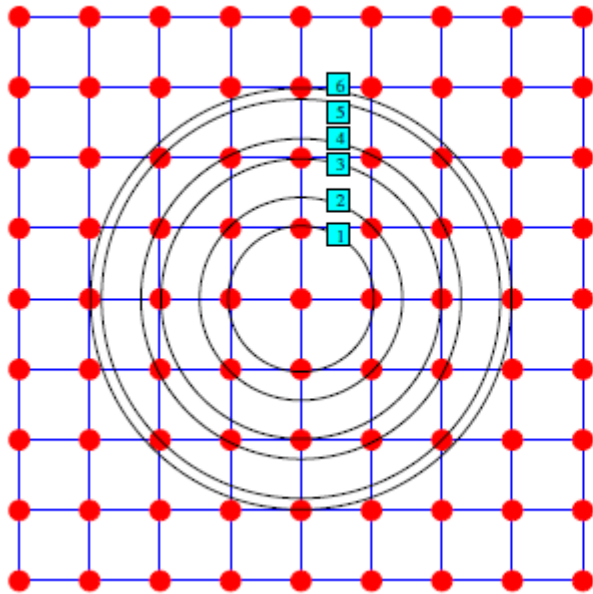
$$A_{\alpha\beta\gamma\delta} = \frac{1}{2V} \sum_{ij} \Phi_{ij}^{\alpha\beta} d_{ij}^{\gamma} d_{ij}^{\delta}$$

$$B_T = ((C_{11} + C_{22} + C_{33}) + 2(C_{12} + C_{13} + C_{23}))/9$$

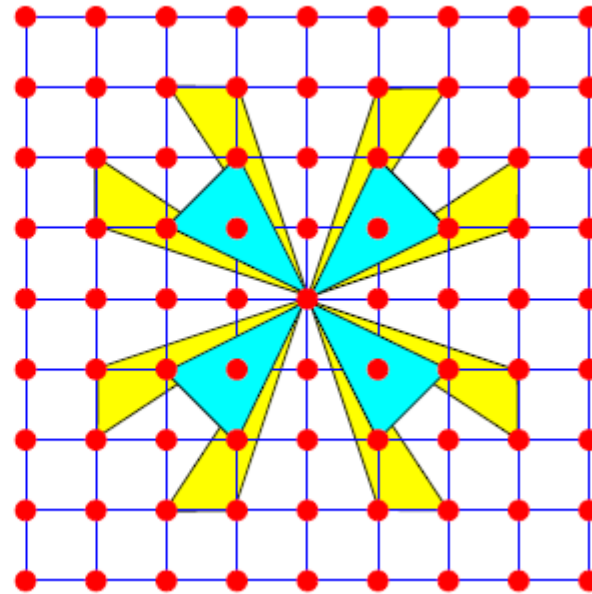
a-TDEP : 90% of the effort has been devoted to the calculation of the IFCs



$$\Phi_{ij}^{\alpha\beta}$$



$$\Psi_{ijk}^{\alpha\beta\gamma}$$

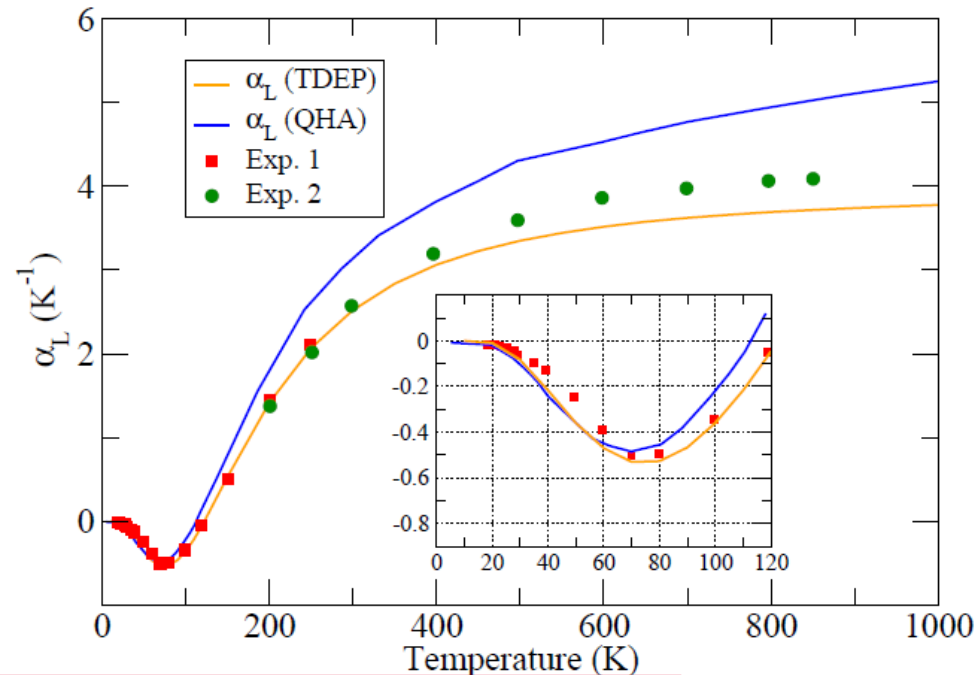
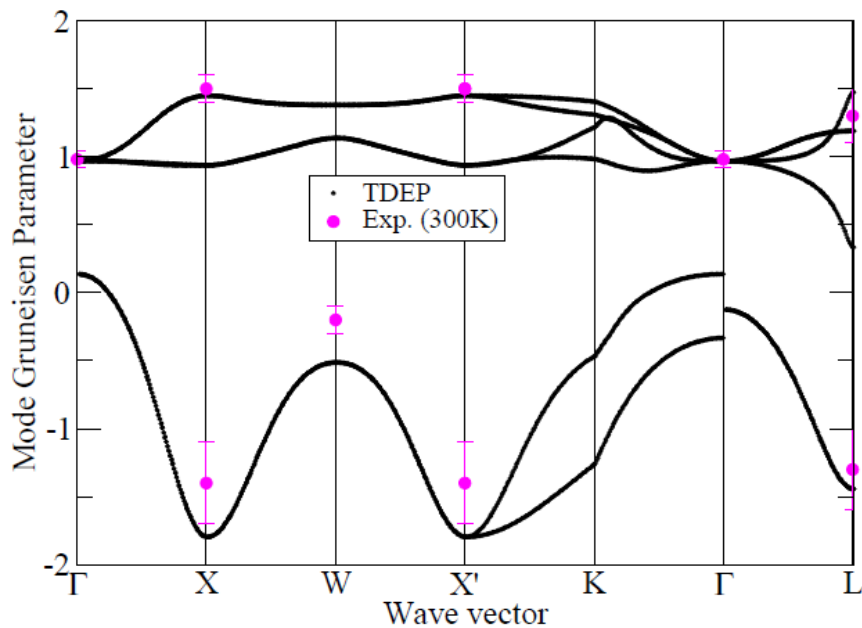


One has to take into account symmetries, invariances...

O. Hellman *et al.*, PRB **84**, 180301(R) (2011), O. Hellman *et al.*, PRB **87**, 104111 (2013).

J. Bouchet & F. Bottin, PRB **92**, 174108 (2015), J. Bouchet & F. Bottin, PRB **95**, 054113 (2017)

A negative thermal expansion at low temperature :



This result could be obtained using QHA

$$\gamma = \frac{\sum_{i=1}^{3N_a} \gamma_i C_{V,i}}{C_V}$$

$$\alpha_p = \frac{\gamma C_V}{B_T V}$$

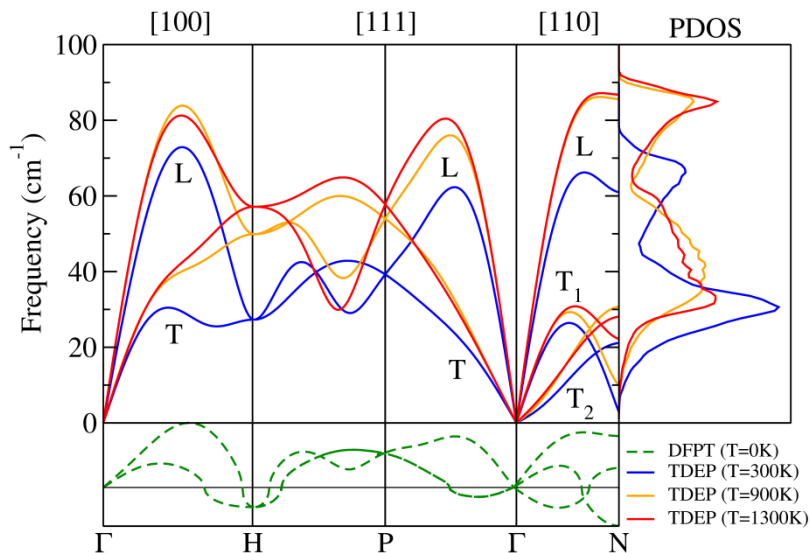
# U (GAMMA) : INTRINSIC ANHARMONIC EFFECTS

Phonon frequencies depends on temperature, implicitly & explicitly :  $\omega(V(T), T)$

$$\left(\frac{\partial \ln \omega}{\partial T}\right)_p = \left(\frac{\partial \ln \omega}{\partial T}\right)_V + \left(\frac{\partial \ln \omega}{\partial \ln V}\right)_T \left(\frac{\partial \ln V}{\partial T}\right)_p$$

**Isochoric or intrinsic  
anharmonicity:**

**Isothermal or extrinsic  
anharmonicity**

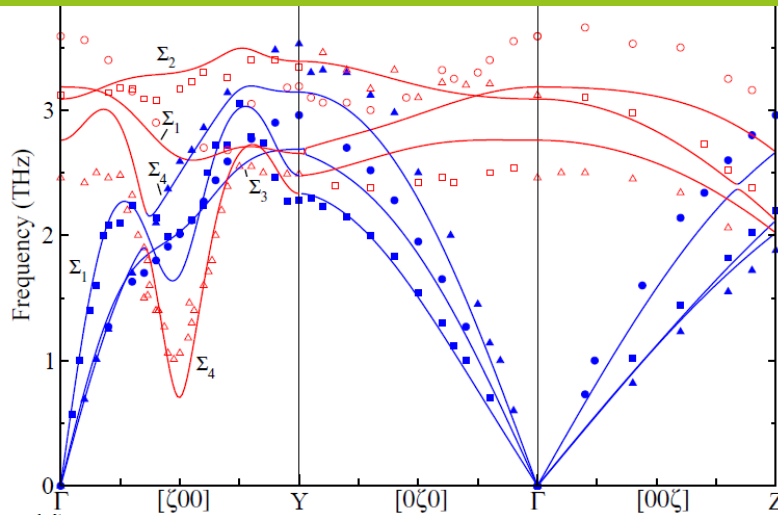


$$-\gamma = \left(\frac{\partial \ln \omega}{\partial \ln V}\right)_T$$

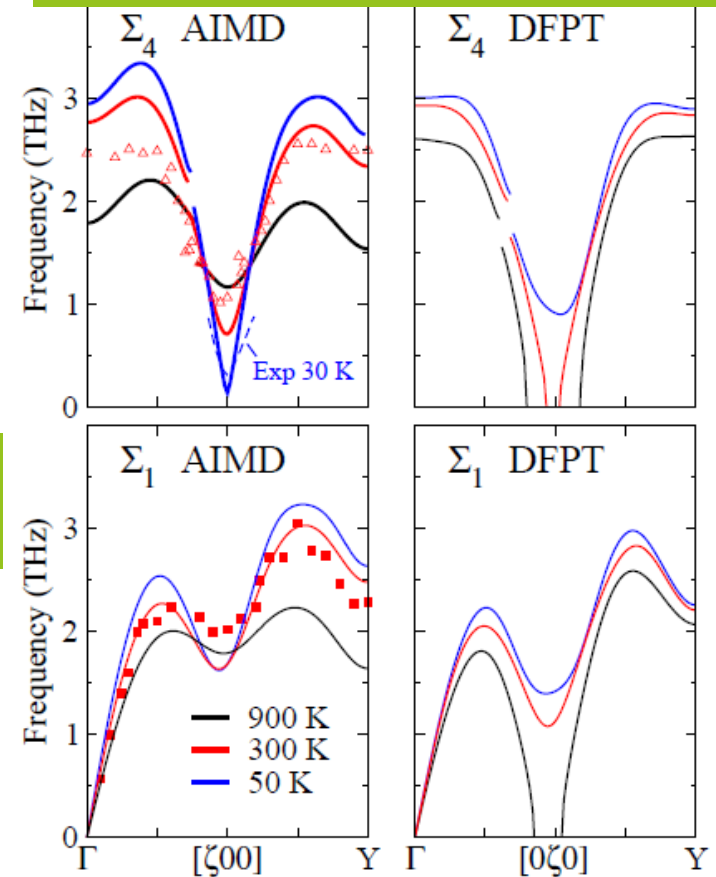
$$\alpha_p = \frac{1}{V} \left(\frac{\partial V}{\partial T}\right)_P$$

# U (ALPHA) : FAILURE OF THE QHA

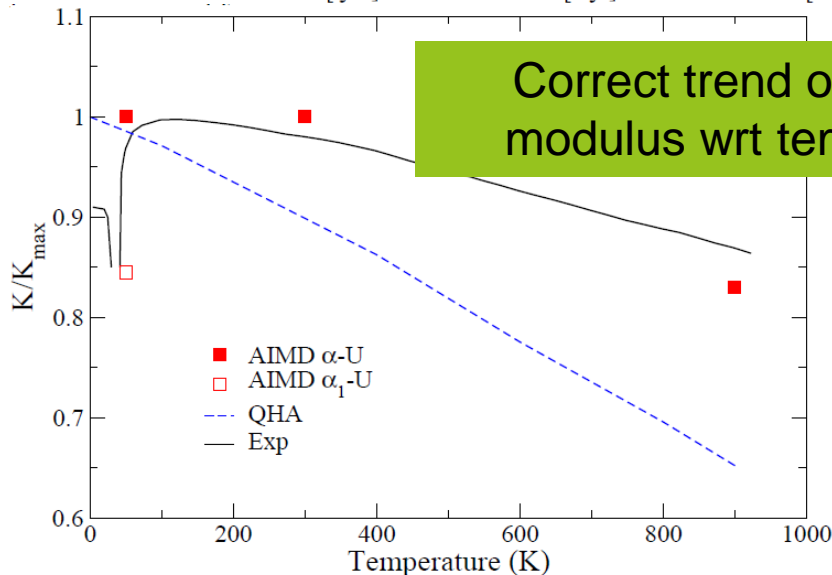
Phonon spectra in good agreement with experiments (300K)



Correct trend of the soft mode wrt temperature

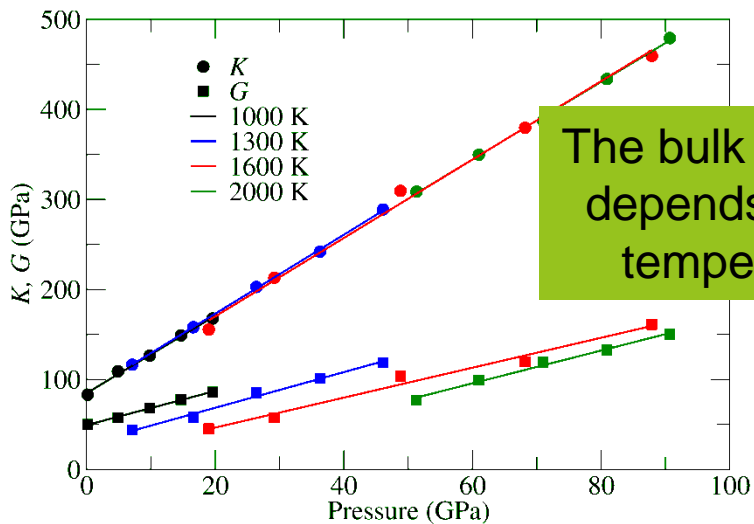


Correct trend of the bulk modulus wrt temperature



# URANIUM: BULK, SHEAR & PHASE DIAGRAM

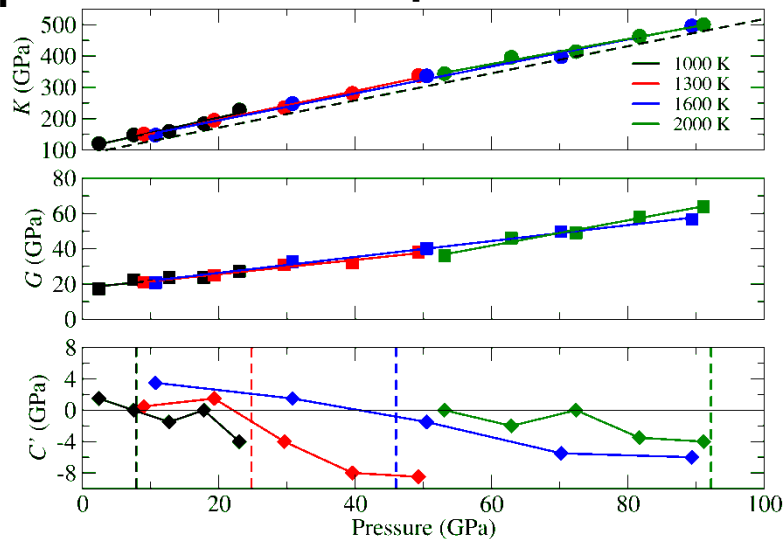
U- $\alpha$



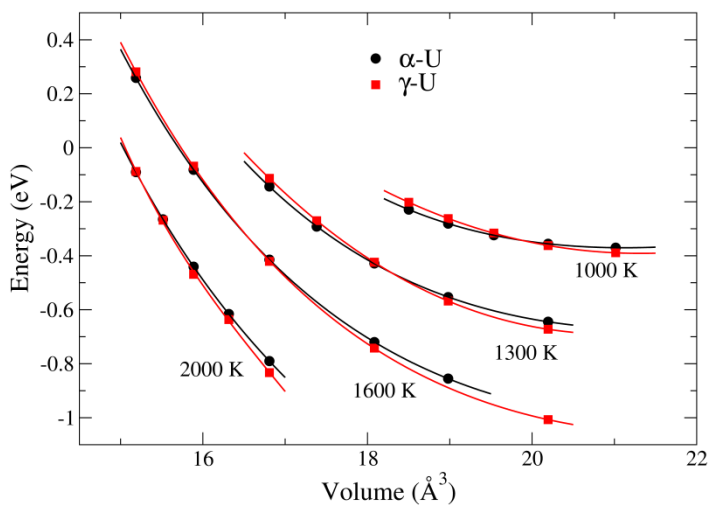
The bulk modulus depends on the temperature

Bulk & Shear

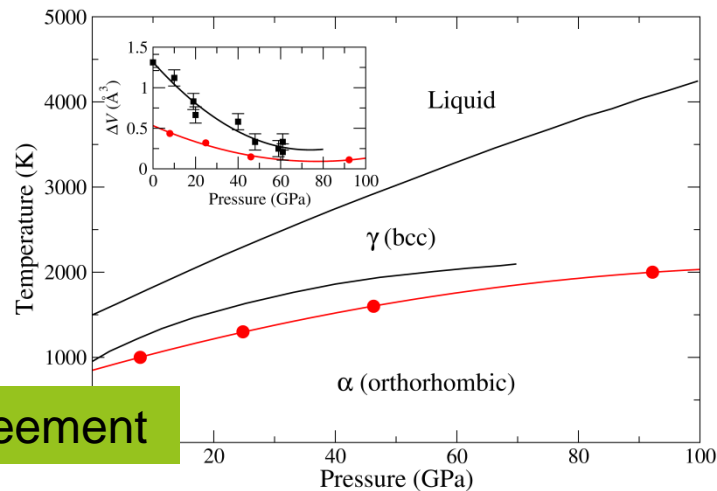
U- $\gamma$



Free energy and phase diagram

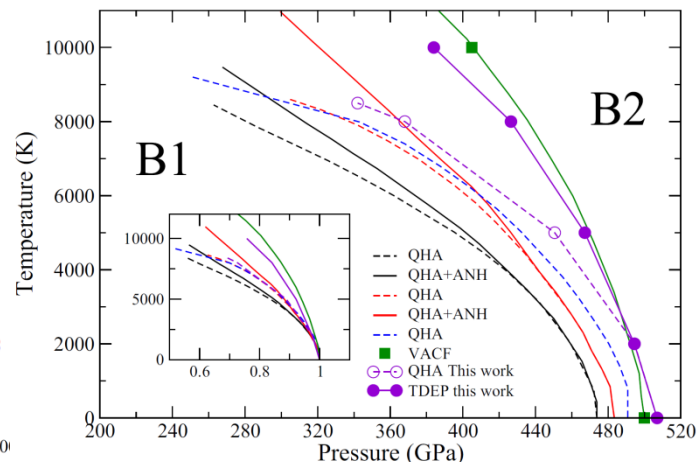
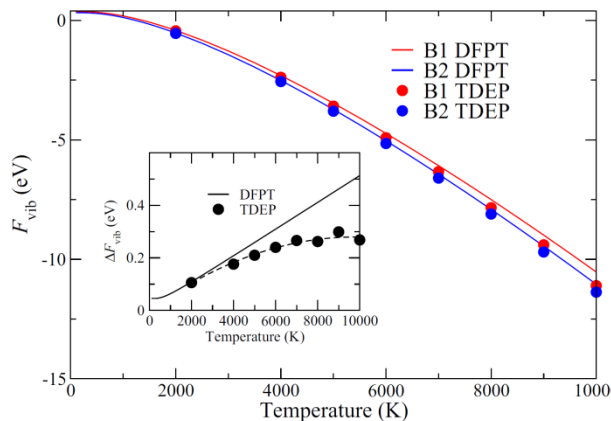
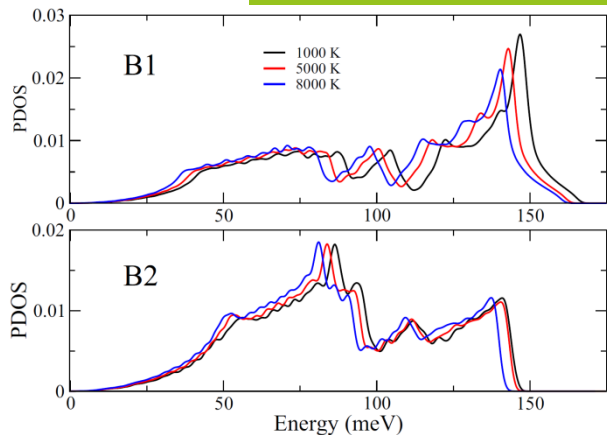


Good agreement

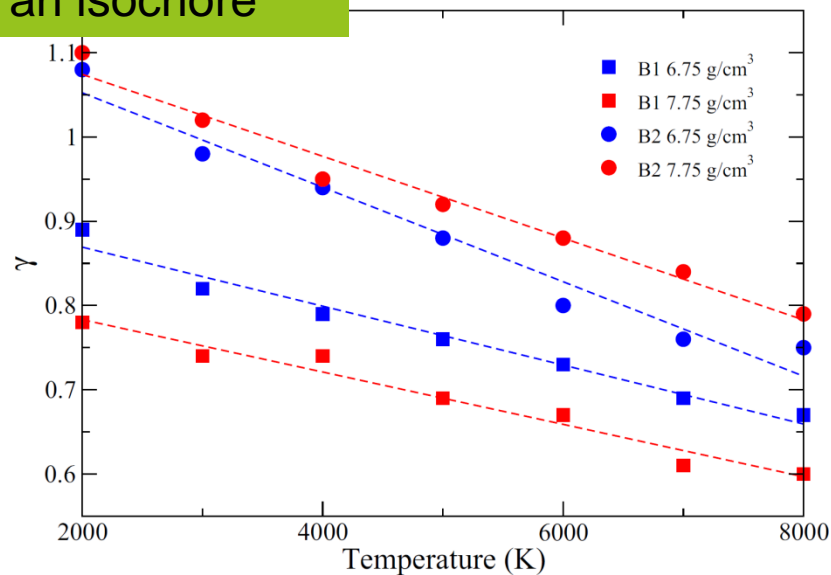
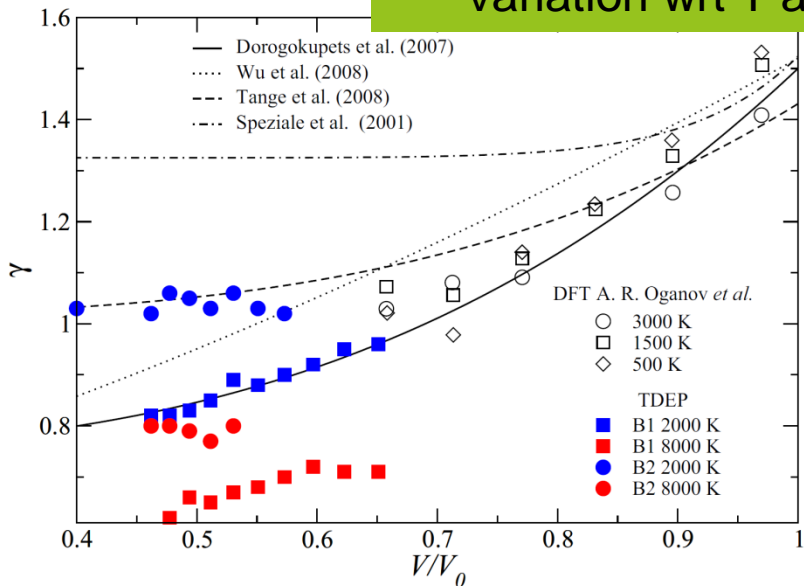


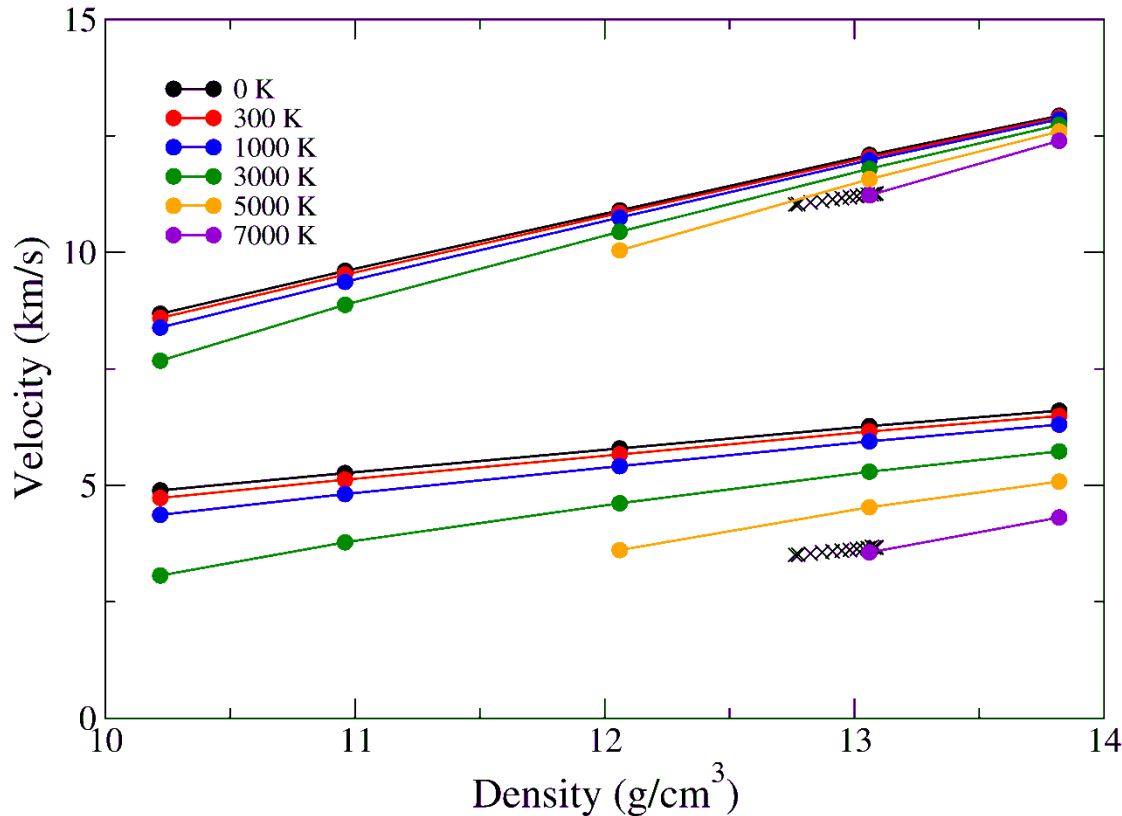
# MGO : THE B1-B2 PHASE TRANSITION

## Variation wrt T along an isochores



## Variation wrt T along an isochores





$$K_S = K_T(1 + \alpha\gamma T)$$

$$V_p = \sqrt{\frac{K_S + 4/3G}{\rho}}$$

$$V_s = \sqrt{\frac{G}{\rho}}$$

Strong dependency of the sound velocities wrt temperature



# HOW TO RUN « A-TDEP » IN ABINIT

As usual...

```
tdep < input.files > log
```

...with 3 lines in the input.files...

```
input.in
HIST.nc
output
```

... and a few « compulsory » variables in the input.in file (see the t37 test of the v8 suite) :

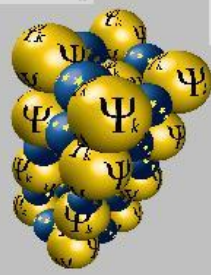
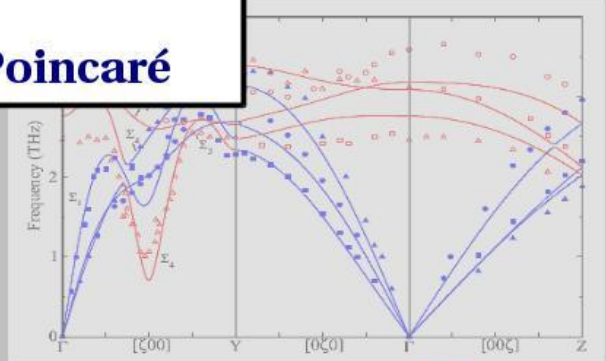
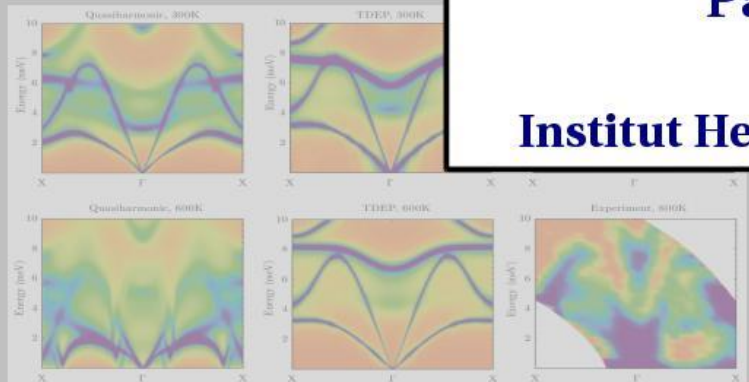
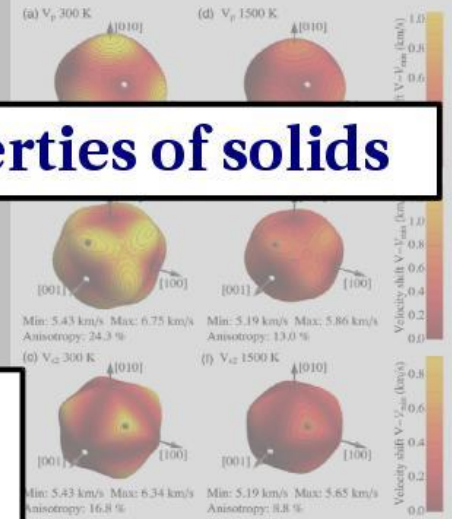
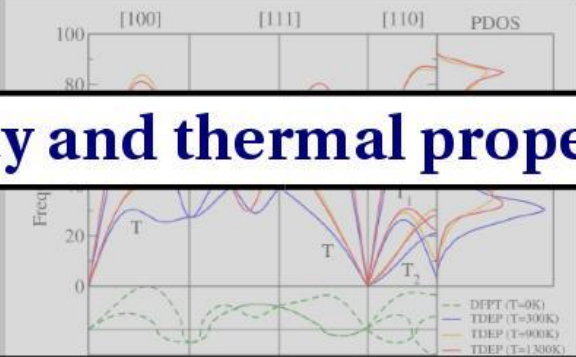
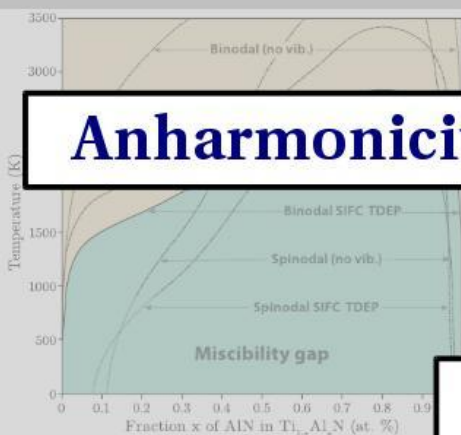
```
NormalMode
# Unit cell definition
      brav  7  0
natom_unitcell      5
xred_unitcell  0.0 0.0 0.0  0.5 0.5 0.5  0.0 0.5 0.5  0.5 0.0 0.5  0.5 0.5 0.0
typat_unitcell  3  2  1  1  1
# Supercell definition
multiplicity  2.00  0.00  0.00  0.00  2.00  0.00  0.00  0.00  2.00
temperature  495.05
# Computation details
nstep_max      101
nstep_min       1
Rcut           7.426
# Optional inputs
Ngqpt2 2 2 2
TheEnd
```

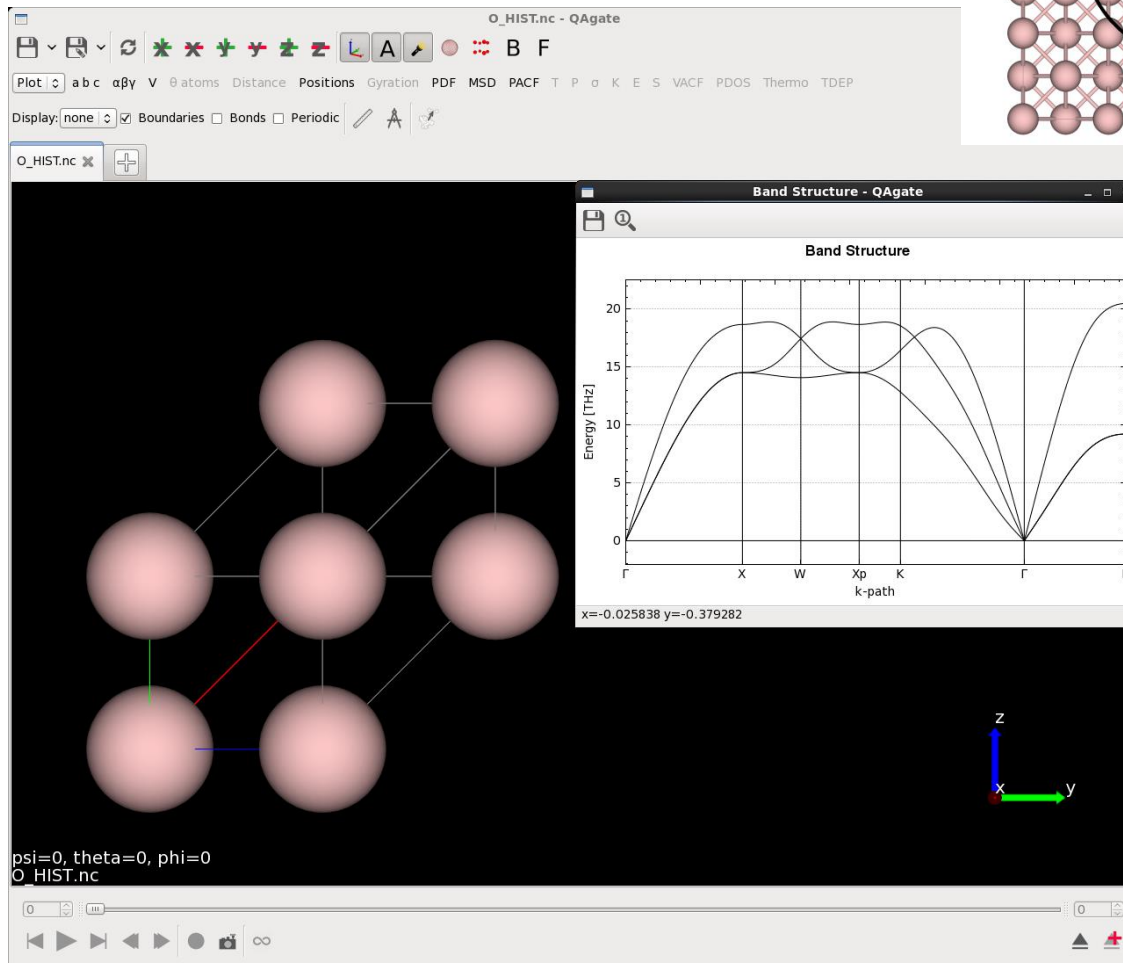
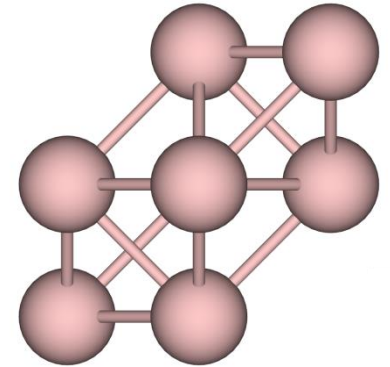
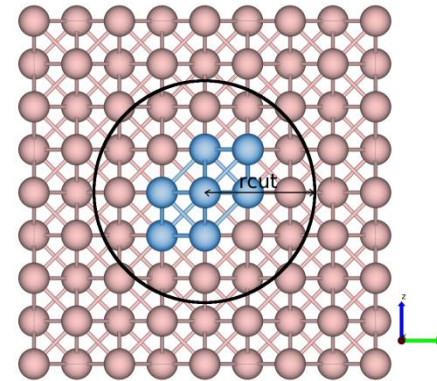
For more details, see the « Topic » and « Input variables » sections in ABINIT.

## Anharmonicity and thermal properties of solids

10-12 January 2018  
Paris

Institut Henri Poincaré





## qAgate

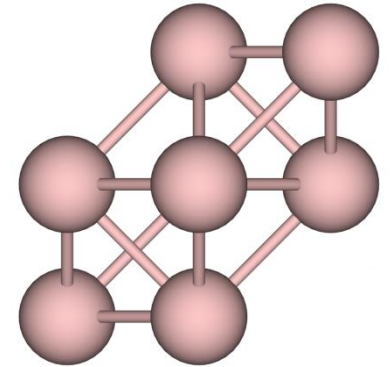
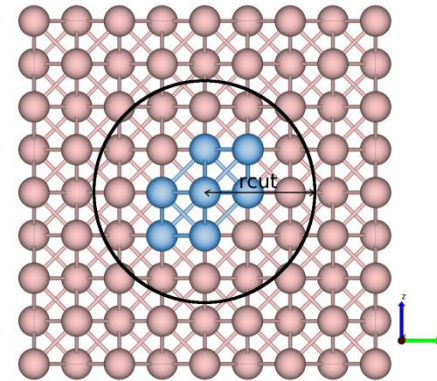
### Abinit Graphical Analysis Tool Engine

J. Bieder, to be submitted



Graphical interface

qTdep



The screenshot displays the qTdep graphical interface with several windows open:

- Supercell Configuration:**
  - Supercell: t37\_HIST.nc
  - Unit cell: t37\_HIST.nc
  - Lattice scaling: 14.852957, 14.852957, 14.852957
  - Parameters: a (0.5, 0, 0), b (0, 0.5, 0), c (0, 0, 0.5)
  - Space group: 221: Pm-3m
  - Atomic description table:

Type	x (red.)	y (red.)	z (red.)
1 Sr	0	0	0
2 Ru	0.5	0.5	0.5
3 O	0	0.5	0.5
4 O	0.5	0	0.5
- Options:**
  - Order expansion: 2
  - radius cutoff for order 2: 7.42648 bohr
  - radius cutoff for order 3: 7.42648 bohr
  - DOS smearing: 4.5e-6 Ha
  - DOS q-point grid: 2 2 2
  - Use ideal positions instead of average positions
  - Mode debug
  - Energy unit: /cm
- Band Structure:**
  - Plot of Energy [cm<sup>-1</sup>] vs k-path (Γ-X-M-Γ-R).
  - Energy ranges from 0 to 600 cm<sup>-1</sup>.
  - Buttons: Apply, Open, Close, Save.
- System Status:**
  - kpt=2.9702 E=5.13544
  - kpt=2.55121 E=4.06321
  - kpt=0.177122 E=717.256